

## BIREFRINGENCE of VACUUM

Due to the non-linear terms induced at low-energy the vacuum behaves as a birefringent medium, i.e. photons have different propagation velocities depending on their polarization.

[Birefringence: optical property of a material having a refractive index that depends on the polarization and propagation direction of light] common in materials like e.g. calcite.

(1) Equations of motion from  $\mathcal{L}_{IR}$

$$\text{Define } \mathcal{F} \equiv \frac{1}{4} F_{\mu\nu} F^{\mu\nu} \quad G \equiv \frac{1}{4} F_{\mu\nu} \tilde{F}^{\mu\nu}$$

$$= -\frac{1}{2} (E^2 - B^2) \quad = -\vec{E} \cdot \vec{B}$$

$$\boxed{\mathcal{L}_{IR} = -\mathcal{F} + c_1 \mathcal{F}^2 + c_2 G^2}$$

We have seen that

$$\left\{ \begin{array}{l} c_1 = \frac{\alpha^2}{m^4} \left( a_1 + \frac{a_2}{2} \right) \\ c_2 = \frac{\alpha^2}{4m^4} a_2 \end{array} \right.$$

$$\frac{\partial \mathcal{F}}{\partial \partial_\alpha A_\beta} = \frac{1}{2} F_{\mu\nu} \frac{\partial}{\partial \partial_\alpha A_\beta} (\partial^\mu A^\nu)$$

$$= F^{\alpha\beta}$$

$$\frac{\partial G}{\partial \partial_\alpha A_\beta} = \frac{1}{2} \epsilon^{\mu\nu\rho\sigma} \frac{\partial}{\partial \partial_\alpha A_\beta} F_{\mu\nu} F_{\rho\sigma} \times \frac{1}{4}$$

$$= 2 \times \frac{1}{2} \epsilon^{\mu\nu\rho\sigma} [(g_{\mu\alpha} g_{\nu\beta} - g_{\mu\beta} g_{\nu\alpha}) F_{\rho\sigma} + F_{\mu\nu} (g_{\rho\alpha} g_{\sigma\beta} - g_{\rho\beta} g_{\sigma\alpha})] \times \frac{1}{4}$$

$$= (\epsilon^{\alpha\beta\rho\sigma} F_{\rho\sigma} + \epsilon^{\mu\nu\alpha\beta} F_{\mu\nu}) \times \frac{1}{4}$$

$$= \frac{1}{2} \epsilon^{\alpha\beta\mu\nu} F_{\mu\nu} \equiv \tilde{F}^{\alpha\beta}$$

$$\boxed{\frac{\partial \mathcal{F}}{\partial A_\alpha} = F^{\alpha\beta}}$$

$$\boxed{\frac{\partial G}{\partial A_\alpha} = \tilde{F}^{\alpha\beta}}$$

$$\frac{\partial}{\partial A_\alpha} \mathcal{L}_{IR} = -F^{\alpha\beta} + 2C_1 \mathcal{F} F^{\alpha\beta} + 2C_2 G \tilde{F}^{\alpha\beta}$$

$$\partial_\alpha \frac{\partial}{\partial A_\beta} \mathcal{L}_{IR} = -\partial_\alpha F^{\alpha\beta} + 2C_1 \partial_\alpha (\mathcal{F} F^{\alpha\beta}) + 2C_2 \partial_\alpha (G \tilde{F}^{\alpha\beta})$$

$$\begin{aligned} & -\partial_\alpha F^{\alpha\beta} + 2C_1 \mathcal{F} \partial_\alpha F^{\alpha\beta} + 2C_2 G \partial_\alpha \tilde{F}^{\alpha\beta} \\ & + 2C_1 (\partial_\alpha \mathcal{F}) F^{\alpha\beta} + 2C_2 (\partial_\alpha G) \tilde{F}^{\alpha\beta} = 0 \end{aligned} \quad \text{Bianchi identity}$$

classical, nonlinear eqn.

We now linearize these equations by assuming

$$A_\mu = A_\mu^{cl} + A_\mu' \xrightarrow{\text{fluctuation}}$$

$\hookrightarrow$  classical background field

fixed by experimental setting

We keep only linear terms in  $A'_\mu$  (omitting the')

Moreover we assume constant  $E^{ce}$  and  $B^{ce}$  fields:

$$\partial_\alpha (F_{\mu\nu}^{ce}) = 0$$

$$\partial_\alpha F^{\alpha\beta} \rightarrow \partial_\alpha F^{\alpha\beta}$$

$$\mathcal{F} \partial_\alpha F^{\alpha\beta} \rightarrow \mathcal{F} ce \partial_\alpha F^{\alpha\beta}$$

$$(\partial_\alpha \mathcal{F}) F^{\alpha\beta} = \frac{1}{2} F_{\mu\nu} (\partial_\alpha F^{\mu\nu}) F^{\alpha\beta}$$

$$= \frac{1}{2} F_{ce}^{\mu\nu} F_{ce}^{\alpha\beta} \partial_\alpha F_{\mu\nu}$$

$$\begin{aligned}
 (\partial_\alpha G) \tilde{F}^{\alpha\beta} &= \frac{1}{4} \frac{1}{2} \epsilon_{\mu\nu\rho\sigma} 2 F^{\mu\nu} \cdot \partial_\alpha F^{\rho\sigma} \cdot \tilde{F}^{\alpha\beta} \\
 &= \frac{1}{2} \tilde{F}_{\rho\sigma} \tilde{F}^{\alpha\beta} \partial_\alpha F^{\rho\sigma} \\
 &= \frac{1}{2} \tilde{F}_{ce}^{\mu\nu} \tilde{F}_{ce}^{\alpha\beta} \partial_\alpha F_{\mu\nu}
 \end{aligned}$$

e.o.m.:

$$(1 - 2c_1 \mathcal{F}_{ce}) \partial_\alpha F^{\alpha\beta} =$$

$$\begin{aligned}
 &= (c_1 F_{ce}^{\mu\nu} F_{ce}^{\alpha\beta} + c_2 \tilde{F}_{ce}^{\mu\nu} \tilde{F}_{ce}^{\alpha\beta}) \partial_\alpha F_{\mu\nu} \\
 &(1 - 2c_1 \mathcal{F}_{ce}) (\square g_e^{\alpha\beta} - \partial^\alpha \partial^\beta) A_\alpha \\
 &= 2 (c_1 F_{ce}^{\mu\nu} F_{ce}^{\alpha\beta} + c_2 \tilde{F}_{ce}^{\mu\nu} \tilde{F}_{ce}^{\alpha\beta}) \partial_\alpha \partial_\beta A_\nu
 \end{aligned}$$

$$\rightarrow \boxed{(1 - 2c_1 \mathcal{F}_{ce}) (\square g^{\mu\nu} - \partial^\mu \partial_\nu) A_\nu} \\
 = 2 (c_1 F_{ce}^{\alpha\mu} F_{ce}^{\beta\nu} + c_2 \tilde{F}_{ce}^{\alpha\mu} \tilde{F}_{ce}^{\beta\nu}) \partial_\alpha \partial_\beta A_\nu$$

linearized eom around classical static homogeneous  $E_{ce}$   $B_{ce}$  fields.

In momentum space:

$$(1 - 2c_1 \mathcal{F}_{ce}) (\kappa^2 g^{\mu\nu} - \kappa^\mu \kappa^\nu) A_\nu$$

$$= (2c_1 b^\mu b^\nu + 2c_2 \tilde{b}^\mu \tilde{b}^\nu) A_\nu$$

$$b^\mu \equiv F^{\alpha\mu} k_\alpha$$

$$\tilde{b}^\mu \equiv \tilde{F}^{\alpha\mu} k_\alpha$$

Dispersion relation for Maxwell equations ( $c_1, c_2 = 0$ )  
In Lorentz gauge  $k^\nu A_\nu = 0$

$$k^2 A^\mu = 0 \quad \leftrightarrow \quad k^2 = 0$$

$$k^\mu = (\omega, \vec{k}) \quad \omega^2 = |\vec{k}|^2 \quad \omega = |\vec{k}|$$

Dispersion relations for modified equations  
in Lorentz gauge

$$(1 - c_1 \mathcal{F}_{ce}) k^2 A^\mu = (2c_1 b^\mu b^\nu + 2c_2 \tilde{b}^\mu \tilde{b}^\nu) A_\nu$$

Approximations:

We have 2 parameters, the classical field intensities  $\epsilon \approx |\mathbf{E}_{cl}|, |\mathbf{B}_{cl}|$  and the energy of the fluctuation  $A_\mu \approx \omega$   
As we will see

$$k_{\text{Maxwell}}^2 = 0 \quad \rightarrow \quad k^2 \approx \omega^2 \epsilon^2$$

$$\text{so } \mathcal{F}_{ce} k^2 \approx \epsilon^4 \omega^2$$

$$\text{Instead } b \sim \tilde{b} \sim \epsilon \omega \quad \tilde{b} \sim \tilde{b} \sim \epsilon^2 \omega^2$$

For small field intensities we can drop the  $\mathcal{F}_{ce}$  term in the left-hand side:

$$(k^2 g^{\mu\nu} - 2c_1 b^\mu b^\nu - 2c_2 \tilde{b}^\mu \tilde{b}^\nu) A_\nu = 0$$

According to our assumption  $\kappa^2 \approx \omega^2 \epsilon^2 \ll 1$

$$b^\mu \tilde{b}_\mu = F^{\alpha\mu} \tilde{F}^\beta_\mu \kappa_\alpha \kappa_\beta$$

$$(F^{\alpha\mu} \tilde{F}^\beta_\mu) = (\vec{E} \cdot \vec{B}) g^{\alpha\beta} \quad (\text{explicitly proved})$$

$$b^\mu \tilde{b}_\mu = (\vec{E} \cdot \vec{B}) \kappa^2 \approx \omega^2 \epsilon^4$$

$$\begin{aligned} b^\mu b_\mu &= -\omega^2 \vec{E}^2 + (\vec{E} \cdot \vec{\kappa})^2 - |\vec{\kappa}|^2 \vec{B}^2 + (\vec{B} \cdot \vec{\kappa})^2 \\ &\quad + 2\omega \vec{\kappa} \cdot (\vec{E} \times \vec{B}) \end{aligned}$$

$$\begin{aligned} \tilde{b}^\mu \tilde{b}_\mu &= -\omega^2 \vec{B}^2 + (\vec{B} \cdot \vec{\kappa})^2 \\ &\quad - |\vec{\kappa}|^2 \vec{E}^2 + (\vec{E} \cdot \vec{\kappa})^2 \\ &\quad - 2\omega \vec{\kappa} \cdot (\vec{B} \times \vec{E}) \end{aligned} \quad \begin{matrix} \text{using} \\ \kappa^\mu = (\omega, \vec{\kappa}) \end{matrix}$$

$$\begin{aligned} b^\mu b_\mu - \tilde{b}^\mu \tilde{b}_\mu &= (|\vec{\kappa}|^2 - \omega^2)(\vec{E}^2 - \vec{B}^2) \\ &\approx \omega^2 \epsilon^4 \end{aligned}$$

Neglecting terms of  $O(\omega^2 \epsilon^4)$  we have :

$$\boxed{b \tilde{b} = 0 \quad b^2 = \tilde{b}^2}$$

Moreover, neglecting small terms  $O(\omega^2 \epsilon^4)$  we have

$$\begin{aligned} b^2 = \tilde{b}^2 &= -(\vec{\kappa} \times \vec{E})^2 - (\vec{\kappa} \times \vec{B})^2 + 2|\vec{\kappa}| \vec{\kappa} \cdot (\vec{E} \times \vec{B}) \\ \boxed{b^2 = \tilde{b}^2 = -\omega^2 Q^2 \leq 0} &\quad Q^2 \approx \epsilon^2 \end{aligned}$$

here  $\omega = |\vec{\kappa}|$  is assumed.

$$(\vec{\kappa} \times \vec{B})^2 = |\vec{\kappa}|^2 \vec{B}^2 - (\vec{B} \cdot \vec{\kappa})^2 \quad \text{used}$$

In fact only  $2|\vec{k}| \vec{k} \cdot (\vec{E} \times \vec{B})$  can be positive and it is maximized when  $(\vec{k}, \vec{E}, \vec{B})$  form an orthogonal set, giving  $2|\vec{k}|^2 EB$ . In this case  $b^2 = \tilde{b}^2 = -|\vec{k}|^2 (|E| - |B|)^2 \leq 0$

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The e.o.m.

$$(\kappa^2 g^{\mu\nu} - 2c_1 b^\mu b^\nu - 2c_2 \tilde{b}^\mu \tilde{b}^\nu) A_\nu = 0$$

has two independent solutions given by

$$A_\nu = \beta b_\nu + \tilde{\beta} \tilde{b}_\nu$$

$$(\kappa^2 g^{\mu\nu} - 2c_1 b^\mu b^\nu - 2c_2 \tilde{b}^\mu \tilde{b}^\nu) b_\nu =$$

$$\kappa^2 b^\mu + 2c_1 \omega^2 Q^2 b^\mu = 0$$

up to  $\epsilon^4 \omega^2$  terms

$$\kappa^2 + 2c_1 \omega^2 Q^2 = 0 \Leftrightarrow b_\nu$$

similarly

$$\kappa^2 + 2c_2 \omega^2 Q^2 = 0 \Leftrightarrow \tilde{b}_\nu$$

Defining a refractive index  $n$ :  $\kappa^\mu \equiv (\omega, \vec{n} \vec{e})$   
we have:

$$\omega^2 (1 + 2c_{1,2} Q^2) - \omega^2 n^2 = 0$$

$$n^2 = 1 + 2c_{1,2} Q^2$$

$$n_{1,2} \approx 1 + c_{1,2} Q^2$$

In terms of velocities, we have

$$\omega \Delta t = n_{1,2} \omega \Delta x$$

$$\rightarrow v = \frac{\Delta x}{\Delta t} = \frac{1}{n_{1,2}} \approx (1 - c_{1,2} Q^2)$$

Notice: to avoid superluminoous signals  
we need  $v < 1 \rightarrow c_1, c_2 > 0$

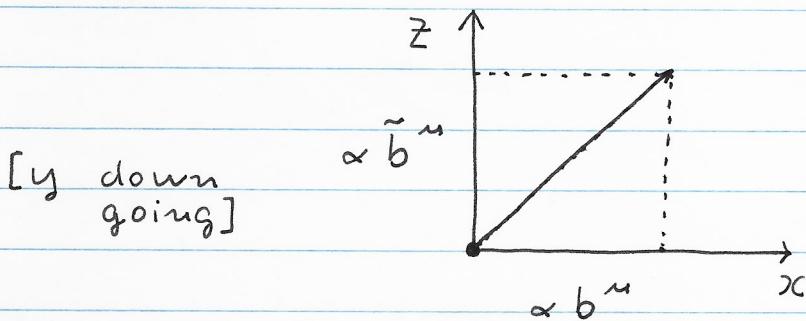
$\diamond$

A typical experimental set-up exploits a constant magnetic field  $\vec{B} = (0, 0, B)$  and a light ray propagating in an orthogonal direction  $\vec{n} = (0, 1, 0) \leftrightarrow \vec{u}^m = (\omega, 0, \omega n, 0)$

In this case:

$$\vec{b}^m = (0, \omega n B, 0) \quad \tilde{\vec{b}}^m = (0, 0, 0, \omega B)$$

One chooses a linearly polarized beam.



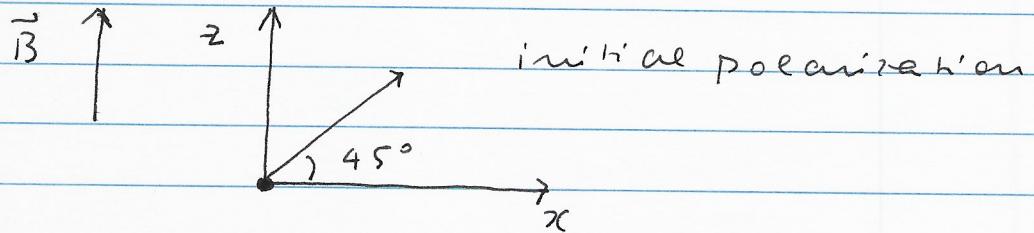
Since the 2 components have a different propagation velocity, after travelling a length  $L$  the phases experience a shift  $\Delta\varphi$

$$\begin{aligned}\Delta\varphi &= \omega n_2 L - \omega n_1 L \\ &\approx \frac{2\pi}{\lambda} \Delta n L\end{aligned}$$

$$\Delta n = (c_2 - c_1) Q^2 = (c_2 - c_1) B^2$$

$$\Delta\varphi \approx \frac{2\pi}{\lambda} (c_2 - c_1) B^2 L$$

To understand the orientation of  $\vec{A}'_r$  (polarization) we consider a region with constant magnetic field  $\vec{B}$  along the z-axis, and an e.m. field  $A'_r$



propagating in a direction perpendicular to  $\vec{B}$  (for instance along the y axis).

$$\vec{B} = (0, 0, B) \quad \vec{e} = (0, 1, 0)$$

$$A'_r = \alpha b_r + \tilde{\alpha} \tilde{b}_r$$

$$\begin{aligned} b_r &= k^* F_{\alpha r}^{\alpha} \\ &\downarrow \\ &(\omega, n_1, \omega \vec{e}) \\ &= (\omega, 0, n_1 \omega, 0) \end{aligned}$$

$$\begin{aligned} \tilde{b}_r &= k^* \tilde{F}_{\alpha r}^{\alpha} \\ &\downarrow \\ &(\omega, n_2, \omega \vec{e}) \\ &= (\omega, 0, n_2 \omega, 0) \end{aligned}$$

$$\begin{aligned} b_r &= \omega (\cancel{F}_{\alpha r}^{\alpha} + n_1 F_{2r}^{\alpha}) = \omega n_1 (0, B, 0, 0) \\ \tilde{b}_r &= \omega (\cancel{\tilde{F}}_{\alpha r}^{\alpha} + \cancel{n_2} \tilde{F}_{2r}^{\alpha}) = \omega (0, 0, 0, B) \end{aligned}$$

$$F_{\alpha}^{\mu\nu} = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & -B_3 & 0 \\ 0 & B_3 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

$$\tilde{F}_{\alpha}^{\mu\nu} = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

$$\tilde{F}_{\alpha}^{\mu\nu} = \begin{bmatrix} 0 & 0 & 0 & -B_3 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ B_3 & 0 & 0 & 0 \end{bmatrix}$$

$b_r$  is along  $x$   
 $\hat{b}_r$  is along  $z$

Assume a monochromatic beam entering the region (in the  $y$  direction) with linear polarization

$$A'_L(t=0) = (0, A, 0, A)$$

$$A = \bar{A} \sin \cancel{\omega t} (\kappa y - \omega t) \Big|_{t=0}$$

The  $x$  and  $z$  component ( $\sim b_r$  and  $\hat{b}_r$  respectively) propagate with different velocities and after a length  $L$  they will accumulate a phase difference  $\Delta\varphi$

$$\begin{aligned}\Delta\varphi &= (\kappa_2 - \kappa_1)L \\ &= \omega (n_2 - n_1)L \\ &= \frac{2\pi}{\lambda} \Delta n L\end{aligned}$$

$$\Delta n = (c_2 - c_1) \beta^2 = (c_2 - c_1) \beta^2$$

$$\Delta\varphi = \frac{2\pi}{\lambda} (c_2 - c_1) \beta^2 L$$

$$c_1 = \frac{\alpha^2}{m^4} \frac{8}{45} \quad c_2 = \frac{\alpha^2}{m^4} \frac{1}{2} \frac{7}{45}$$

$$|c_1 - c_2| = \frac{\alpha^2}{m^4} \frac{16 - 7}{90} = \frac{\alpha^2}{m^4} \cdot \frac{1}{10}$$

$$A'_v(L) = \bar{A}(0, \sin(\kappa_1 L - \omega t), 0, \sin(\kappa_2 L - \omega t))$$

$$\begin{cases} A'_1(L) = \bar{A} \sin(\kappa_1 L - \omega t) \\ A'_3(L) = \bar{A} \sin(\kappa_1 L - \omega t + \Delta\varphi) \end{cases}$$

describing an ellipse

$$x(t) = \bar{x} \sin(\varphi_x - \omega t)$$

$$z(t) = \bar{z} \sin(\varphi_z - \omega t) =$$

$$= \bar{z} \sin(\varphi_x - \omega t + \Delta\varphi)$$

$$z(t) = \bar{z} \sin(\varphi_x - \omega t) \cos \Delta\varphi + \bar{x} \cos(\varphi_x - \omega t) \sin \Delta\varphi$$

$$z(t) - x(t) \cos \Delta\varphi = \bar{z} \cos(\varphi_x - \omega t) \sin \Delta\varphi$$

$$(z(t) - x(t) \cos \Delta\varphi)^2 = \sin^2 \Delta\varphi \bar{z}^2 (1 - \sin^2(\varphi_x - \omega t))$$

$$= \sin^2 \Delta\varphi \bar{z}^2 - \sin^2 \Delta\varphi x^2(t)$$

$$= z^2(t) + x^2(t) \cos^2 \Delta\varphi - 2 x(t) z(t) \cos \Delta\varphi$$

$$\cancel{+ x^2(t) \sin^2 \Delta\varphi} = \sin^2 \Delta\varphi \bar{z}^2$$

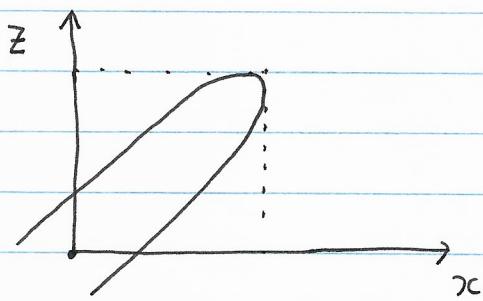
$$= x^2(t) + z^2(t) - 2(x(t) z(t)) \cos \Delta\varphi = \sin^2 \Delta\varphi \bar{z}^2$$

$$\Delta\varphi = 0 \rightarrow (x(t) - z(t))^2 = 0 \text{ u.}$$

$$\cos \Delta\varphi = 0 \rightarrow x^2(t) + z^2(t) = \bar{z}^2 \text{ u.}$$

$$A'_1{}^2 + A'_3{}^2 - 2 A'_1 A'_3 \cos \Delta\varphi = \bar{A}^2 \sin^2 \Delta\varphi$$

and this modifies the linear into an elliptic polarization.



Some numbers:

$$\text{Sensitivity of PVLAS experiment : } \Delta\varphi \approx 10^{-7} \text{ rad} / \sqrt{\text{Hz}}$$

$$(c_2 - c_1)_{\text{QED}} = 4 \cdot 10^{-24} T^{-2}$$

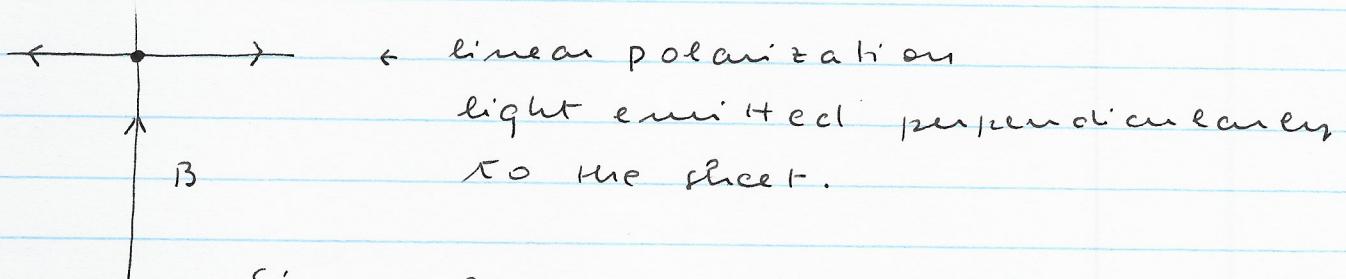
$$B \approx 2.5 \text{ T} \quad L \approx 1 \text{ m} \quad \rightarrow (c_2 - c_1) B^2 L \approx 2.5 \cdot 10^{-23} \text{ m}$$

$$\lambda \approx 1 \mu\text{m}$$

$$\Delta\varphi \approx 10^{-17} \text{ rad} \quad \xrightarrow[\text{cavity}]{\text{enhanced by}} \quad 10^{-11} \text{ rad} \ll 10^{-7} \text{ rad}$$

## Vacuum birefringence tests

Astrophysical evidence from magnetars  
(Neutron stars with large magnetic field)  
Linearly polarized light is expected to be  
emitted from the surface of a NS  
where there is point by point a magn. field



Since  $B$  changes on the SN surface  
small net effects are expected.

A net linear polarization can survive  
in the presence of a birefringent vacuum.

Lab experiments

→ PVLAS

→ BMV

### Exercise

Expand the Born-Infeld Lagrangian (1930) up to  $O(4)$  in the electromagnetic fields and find the correspondence with the Euler-Heisenberg Lagrangian

B I : non-linear electrodynamics motivated by the search for a maximum value of  $E, B$

To remove divergence in the in classical theory

$$\mathcal{L}_{BI} = - \frac{b^2}{\det(g_{\mu\nu} + \frac{F_{\mu\nu}}{b})} \quad [b] = 2$$

$$-\det \begin{pmatrix} 1 & E^1 & E^2 & E^3 \\ -E^1 & -1 & -B^3 & B^2 \\ -E^2 & B^3 & -1 & -B^1 \\ -E^3 & -B^2 & B^1 & -1 \end{pmatrix}$$

describes also a gauge field on a D-brane

$$= 1 + \frac{1}{2b^2} F_{\mu\nu} F^{\mu\nu} - \frac{1}{16b^4} (\bar{F}\bar{F})^2$$

$$= 1 + \frac{2}{b^2} \bar{F} - \frac{G^2}{b^4}$$

$$\mathcal{L} = -b^2 \sqrt{1 + \frac{2}{b^2} \bar{F} - \frac{G^2}{b^4}}$$

$$= -b^2 \left( 1 + \frac{2}{2b^2} \bar{F} - \frac{G^2}{2b^4} - \frac{\bar{F}^2}{2b^4} + \dots \right)$$

$$= -b^2 - \bar{F} + \frac{G^2}{2b^2} + \frac{\bar{F}^2}{2b^2} + \dots \rightarrow a_1' = a_2'$$