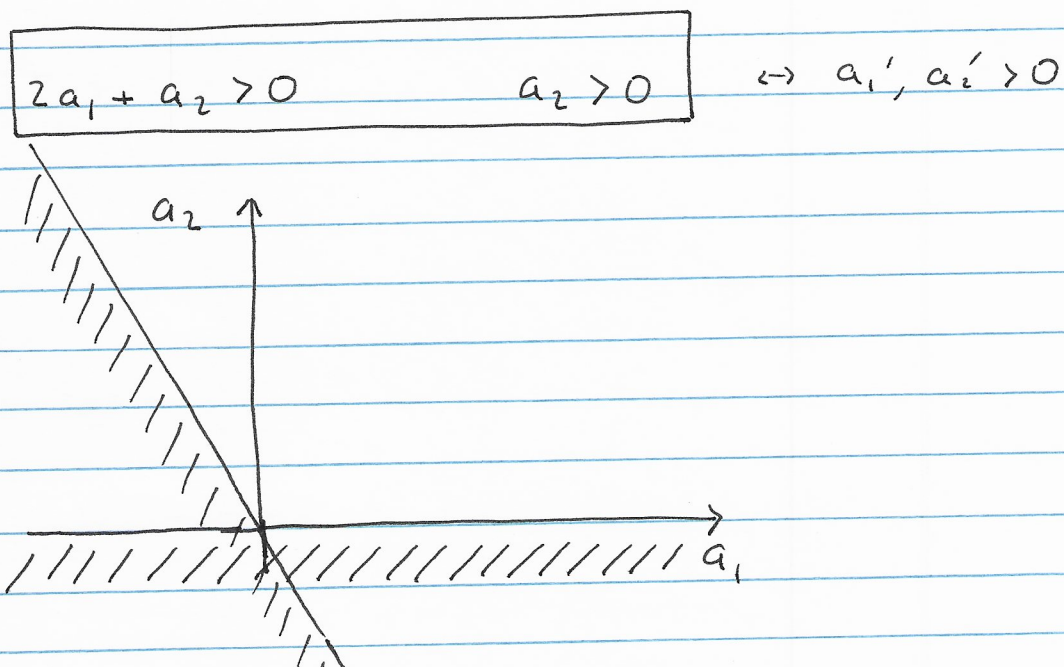


Forward scattering amplitude and bounds on the coefficients a_1, a_2 .

In a bottom-up perspective, the coefficients a_1 and a_2 of \mathcal{L}_{IR} are arbitrary real numbers. However it is possible to show that under general assumptions always satisfied by any \mathcal{L}_{UV} (not only QED) they obey some positivity conditions, i.e. they cannot be chosen completely at random. As we will see:



Landscape of possible theories. The hatched region is the "swampland": no UV sensible theory can give rise to (a_1, a_2) in this region.

Determining the swampland in the landscape of low-energy EFT whose UV completion is string theory is one of the most active field of research today.

To show that $2a_1 + a_2 > 0$ and $a_2 > 0$
 we will exploit 3 general ingredients

① Analyticity of scattering amplitudes
 (considered as functions of the Mandelstam
 variables s, t, u extended to the
 complex plane)

② Crossing symmetry: a relation between
 $A(k_1, \lambda_1; k_2, \lambda_2 | k_3, \lambda_3; k_4, \lambda_4)$
 and $A(\underbrace{-k_3, -\lambda_3; k_2, \lambda_2}_{\text{1st and 3rd } (k, \lambda) \text{ variables exchanged}} | -k_1, -\lambda_1; k_4, \lambda_4)$

③ optical theorem: relating for a 2 particle
 scattering

$$\text{Im } A(i \rightarrow f) \Big|_{i=f} = 2 E_{\text{CM}} |\vec{P}| \sum_X \sigma(i \rightarrow X)$$

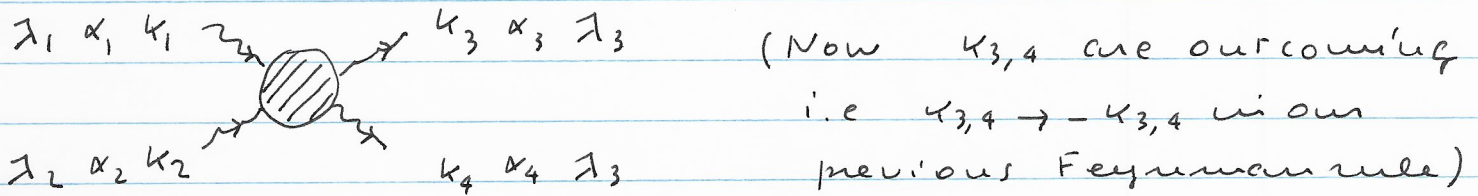
\hookrightarrow momentum
 of 1 particle in
 CM frame

= massless particles

$$= s \sum_X \sigma(i \rightarrow X) = s \sigma_{\text{tot}}(i \rightarrow X)$$

$$\begin{aligned}
 k_1 &= (k, 0, 0, k) & k_2 &= (k, 0, 0, -k) & s &= (k_1 + k_2)^2 = 4k^2 \\
 & & & & &= 4k \cdot k \\
 & & & & &= 2 E_{\text{CM}} \cdot k
 \end{aligned}$$

To prove the positivity relation we study the forward scattering amplitude of light-by-light scattering with fixed photon helicities



λ_i are the photon helicities: ± 1

$$A_{\lambda_1 \lambda_2 \lambda_3 \lambda_4} = \epsilon_{\lambda_4}^{* \alpha_4}(k_4) \epsilon_{\lambda_3}^{* \alpha_3}(k_3) \epsilon_{\lambda_2}^{\alpha_2}(k_2) \epsilon_{\lambda_1}^{\alpha_1}(k_1) \times \\ \times \mathcal{M}_{\alpha_1 \alpha_2 \alpha_3 \alpha_4}(k_1, k_2, k_3, k_4)$$

$k_i^2 = 0$ for massless particles

We recall the Mandelstam variables

$$s = (k_1 + k_2)^2$$

$$t = (k_1 - k_3)^2$$

$$u = (k_1 - k_4)^2$$

with $s + t + u = 0$ (massless kinematics)

Now consider the forward scattering:

$$k_3 = k_1 \quad k_4 = k_2$$

For instance, in the CM frame

$$k_1 = (k, 0, 0, k) \quad k_2 = (k, 0, 0, -k) = k_4 \\ = k_3$$

$$\vec{k}_1 = \vec{k}_3 \quad \vec{k}_2 = \vec{k}_4$$

In the CM frame the polarization vectors read:

$$\epsilon_{\lambda_1}^{\alpha_1}(k_1) = (0, -\lambda_1, -i, 0)/\sqrt{2}$$

$$\epsilon_{\lambda_2}^{\alpha_2}(k_2) = (0, +\lambda_2, -i, 0)/\sqrt{2}$$

$$\epsilon_{\lambda_3}^{\alpha_3^*}(k_1) = (0, -\lambda_3, i, 0)/\sqrt{2}$$

$$\epsilon_{\lambda_4}^{\alpha_4^*}(k_2) = (0, +\lambda_4, i, 0)/\sqrt{2}$$

Notice that

$$\epsilon_{\lambda}(k) \cdot k' \equiv 0$$

whatever k

and k' can be.

Moreover we have $t=0$ $u=-s$

→ This means that we can parametrize the most general amplitude $\mathcal{M}_{\alpha_1 \alpha_2 \alpha_3 \alpha_4}$ as

$$\mathcal{M}_{\alpha_1 \alpha_2 \alpha_3 \alpha_4} = A(s) g_{\alpha_1 \alpha_3} g_{\alpha_2 \alpha_4} + B(s) g_{\alpha_1 \alpha_4} g_{\alpha_2 \alpha_3} + C(s) g_{\alpha_1 \alpha_2} g_{\alpha_3 \alpha_4} + \dots$$

↑ terms proportional to some $(k_i)_\alpha$ that do not contribute to A

Exercise: The above is completely general.

Compute $A(s)$, $B(s)$ and $C(s)$ in \mathcal{L}_{IR}

In units of $(i \frac{\alpha^2}{m_e^4})$ we have:

$$\frac{(k_1 \cdot k_2)^2}{4} \left[(2a_1 + \frac{a_2}{2}) (g_{\alpha_1 \alpha_2} g_{\alpha_3 \alpha_4} + g_{\alpha_1 \alpha_4} g_{\alpha_2 \alpha_3}) + \lambda a_2 g_{\alpha_1 \alpha_3} g_{\alpha_2 \alpha_4} \right] + \dots$$

Crossing symmetry

$A_{\lambda_1 \lambda_2 \lambda_3 \lambda_4}(s, t, u)$ remains invariant under the crossing

transformation

$$\begin{aligned} k_1 &\rightarrow -k_3 & \text{helicity (1)} &\rightarrow -\text{helicity (3)} \\ k_3 &\rightarrow -k_1 & \text{helicity (3)} &\rightarrow -\text{helicity (1)} \end{aligned} \quad (1)$$

variables 2, 4 unchanged

(1) Exchanges 1 initial and 1 final state reversing the sign of the kinematical variables

Assuming λ_i now denote helicities $\lambda_i = \pm 1$ we have

$$A_{-\lambda_3 \lambda_2 -\lambda_1 \lambda_4}(u, t, s) = A_{\lambda_1 \lambda_2 \lambda_3 \lambda_4}(s, t, u)$$

$$\begin{aligned} \text{indeed } s &= (k_1 + k_2)^2 \rightarrow (k_2 - k_3)^2 = (k_4 - k_1)^2 = u \\ t &= (k_1 - k_3)^2 \rightarrow (k_1 - k_3)^2 = t \end{aligned}$$

$$\begin{aligned} \text{For forward scattering } t &= 0 & u &= -s \\ t &= 0 \rightarrow t &= 0 & \quad s \rightarrow u = -s \end{aligned}$$

$$A_{-\lambda_3 \lambda_2 -\lambda_1 \lambda_4}(-s) = A_{\lambda_1 \lambda_2 \lambda_3 \lambda_4}(s)$$

Remark

In general, if $A(s)$ is a physical amplitude the crossing transformation relates it to an unphysical amplitude ($-s < 0$)

Exercise Enforce crossing symmetry on

$$A_{\lambda_1 \lambda_2 \lambda_3 \lambda_4}(s) = \epsilon_{\lambda_4}^{*\alpha_4} \epsilon_{\lambda_3}^{*\alpha_3} \epsilon_{\lambda_2}^{\alpha_2} \epsilon_{\lambda_1}^{\alpha_1} \times \\ \times [A(s) g_{\alpha_1 \alpha_3} g_{\alpha_2 \alpha_4} + B(s) g_{\alpha_1 \alpha_4} g_{\alpha_2 \alpha_3} + \\ C(s) g_{\alpha_1 \alpha_2} g_{\alpha_3 \alpha_4}]$$

$$= A(s) \epsilon_{\lambda_3}^*(\nu_1) \cdot \epsilon_{\lambda_1}(\nu_1) \quad \epsilon_{\lambda_4}^*(\nu_2) \cdot \epsilon_{\lambda_2}(\nu_2) \\ + B(s) \epsilon_{\lambda_4}^*(\nu_2) \cdot \epsilon_{\lambda_1}(\nu_1) \quad \epsilon_{\lambda_3}^*(\nu_1) \cdot \epsilon_{\lambda_2}(\nu_2) \\ + C(s) \epsilon_{\lambda_2}(\nu_2) \cdot \epsilon_{\lambda_1}(\nu_1) \quad \epsilon_{\lambda_4}^*(\nu_2) \cdot \epsilon_{\lambda_3}^*(\nu_1)$$

using

$$\epsilon_{\lambda_1}^{\alpha_1}(\nu_1) = \frac{1}{\sqrt{2}} (0, -\lambda_1, -i, 0) \\ \epsilon_{\lambda_2}^{\alpha_2}(\nu_2) = \frac{1}{\sqrt{2}} (0, +\lambda_2, -i, 0) \\ \epsilon_{\lambda_3}^{\alpha_3}(\nu_1)^* = \frac{1}{\sqrt{2}} (0, -\lambda_3, +i, 0) \\ \epsilon_{\lambda_4}^{\alpha_4}(\nu_2)^* = \frac{1}{\sqrt{2}} (0, +\lambda_4, +i, 0)$$

$$A_{\lambda_1 \lambda_2 \lambda_3 \lambda_4}(s) = \frac{1}{4} [A(s) (1 + \lambda_1 \lambda_3)(1 + \lambda_2 \lambda_4) + \\ B(s) (1 - \lambda_1 \lambda_4)(1 - \lambda_2 \lambda_3) + \\ C(s) (1 + \lambda_1 \lambda_2)(1 + \lambda_3 \lambda_4)]$$

• $A_{++++}(s) = A_{----}(s) = A(s) + C(s)$

$A_{+++ -}(s) = A_{- - - +}(s) = \emptyset$ etc...

• $A_{++--}(s) = A_{--++}(s) = B(s) + C(s)$

• $A_{+ - + -}(s) = A_{- + - +}(s) = A(s) + B(s)$

$A_{+ - - +}(s) = A_{- + + -}(s) = \emptyset$ ($\neq 0$ in

general
kinematics
with $\epsilon \neq 0$)

crossing symmetry:

$$\textcircled{1} \quad A_{-+-+}(-s) = A_{++++}(s)$$

$$A(-s) + B(-s) = A(s) + C(s)$$

$$\textcircled{2} \quad A_{++--}(-s) = A_{++--}(s)$$

$$B(-s) + C(-s) = B(s) + C(s)$$

$$\textcircled{2} \quad B(-s) - C(s) = B(s) - C(-s)$$

$$A(-s) - A(s) = C(s) - B(-s) = C(-s) - B(s)$$

$$= A(s) - A(-s)$$

$$\rightarrow \boxed{\begin{aligned} A(s) &= A(-s) \\ C(s) &= B(-s) \end{aligned}}$$

The independent helicity amplitudes are

$$\boxed{\begin{aligned} A_{++++}(s) &= A_{----}(s) = A(s) + B(-s) \\ A_{++--}(s) &= A_{--++}(s) = B(s) + B(-s) \\ A_{+-+-}(s) &= A_{-+-+}(s) = A(s) + B(s) \end{aligned}}$$

Recall that in our EFT we have

$$\mathcal{M}_{\alpha_1 \alpha_2 \alpha_3 \alpha_4} = \frac{i\alpha^2}{m_e^4} \cdot \underbrace{(k_1 \cdot k_2)^2}_{\frac{s^2}{4}} \left[\left(2a_1 + \frac{a_2}{2} \right) (g_{\alpha_1 \alpha_2} g_{\alpha_3 \alpha_4} + g_{\alpha_1 \alpha_4} g_{\alpha_2 \alpha_3}) + a_2 g_{\alpha_1 \alpha_3} g_{\alpha_2 \alpha_4} \right]$$

$$\rightarrow A(s) \equiv a_2 \frac{s^2}{4} \quad B(s) = C(-s) \equiv \frac{4a_1 + a_2}{8} s^2$$

in units of $\left(\frac{i\alpha^2}{m_e^4} \right)$

basis of linearly polarized photons :

$$E_x(k_1) = E_x^*(k_1) = (0, 1, 0, 0)$$

$$E_y(k_1) = E_y^*(k_1) = (0, 0, 1, 0)$$

$$E_x(k_2) = E_x^*(k_2) = (0, -1, 0, 0)$$

$$E_y(k_2) = E_y^*(k_2) = (0, 0, +1, 0)$$

apply a
rotation of π
in (x, y) plane.

$$\rightarrow E_{\lambda_3}^*(k_1) E_{\lambda_1}(k_1) E_{\lambda_4}^*(k_2) E_{\lambda_2}(k_2) = \delta_{\lambda_1 \lambda_3} \delta_{\lambda_2 \lambda_4}$$

$$E_{\lambda_4}^*(k_2) E_{\lambda_1}(k_1) E_{\lambda_3}^*(k_1) E_{\lambda_2}(k_2) = (+1) \delta_{\lambda_1 \lambda_4} (+1) \delta_{\lambda_2 \lambda_3}$$

$$E_{\lambda_4}^*(k_2) E_{\lambda_3}^*(k_1) E_{\lambda_2}(k_2) E_{\lambda_1}(k_1) = (+1) \delta_{\lambda_3 \lambda_4} \delta_{\lambda_1 \lambda_2} (+1)$$

$$\rightarrow A_{\lambda_1 \lambda_2 \lambda_3 \lambda_4} = A(s) \delta_{\lambda_1 \lambda_3} \delta_{\lambda_2 \lambda_4} + B(s) \delta_{\lambda_1 \lambda_4} \delta_{\lambda_2 \lambda_3} + B(-s) \delta_{\lambda_1 \lambda_2} \delta_{\lambda_3 \lambda_4}$$

$\rightarrow A_{xxxx} = A(s) + B(s) + B(-s) = A_{yyyy}$ $A_{xyxy} = A(s) = A_{yxyx}$ $A_{xyyx} = A_{yxxy} = B(s)$ $A_{xxyy} = A_{yyxx} = B(-s)$

relations :

$$A_{xxyy}(s) = A_{xyyx}(-s)$$

$$A_{xxxx}(s) = A_{xyxy}(s) + A_{yxxy}(s) + A_{xxyy}(s)$$

By inspecting the t -loop amplitude $A(s) \xrightarrow{|s| \rightarrow \infty} \log s, \log^2 s$ and the circle at ∞ does not contribute. Define

$$A_{\lambda_1 \lambda_2}(s) \equiv A_{\lambda_1 \lambda_2 \lambda_1 \lambda_2}(s) \quad (\text{same polarization for 1 and 3})$$

$$\begin{aligned} \frac{d^2 A_{\lambda_1 \lambda_2}}{ds^2}(0) &= \frac{1}{\pi i} \oint_C \frac{ds}{s^3} A_{\lambda_1 \lambda_2}(s) \\ &= \frac{1}{\pi i} \left[\int_{4m^2}^{+\infty} \frac{ds}{s^3} (A_{\lambda_1 \lambda_2}(\sigma+i\varepsilon) - A_{\lambda_1 \lambda_2}(\sigma-i\varepsilon)) \right. \\ &\quad \left. + \int_{-\infty}^{-4m^2} \frac{ds}{s^3} (A_{\lambda_1 \lambda_2}(\sigma+i\varepsilon) - A_{\lambda_1 \lambda_2}(\sigma-i\varepsilon)) \right] \end{aligned}$$

Remember that, by the Feynman prescription, the physical amplitude is:

$$A_{\lambda_1 \lambda_2}(\sigma) \equiv \lim_{\varepsilon \rightarrow 0^+} A_{\lambda_1 \lambda_2}(\sigma+i\varepsilon)$$

$$\begin{aligned} &= \frac{1}{\pi i} \left[\int_{4m^2}^{+\infty} \frac{ds}{s^3} \underbrace{(A_{\lambda_1 \lambda_2}(\sigma+i\varepsilon) - A_{\lambda_1 \lambda_2}(\sigma-i\varepsilon))}_{2i \operatorname{Im} A_{\lambda_1 \lambda_2}(\sigma)} \right. \\ &\quad \left. - \int_{4m^2}^{+\infty} \frac{ds}{s^3} \underbrace{(A_{\lambda_1 \lambda_2}(-\sigma+i\varepsilon) - A_{\lambda_1 \lambda_2}(-\sigma-i\varepsilon))}_{-2i \operatorname{Im} A_{\lambda_1 \lambda_2}(-\sigma)} \right] \end{aligned}$$

The amplitude also satisfies (Schwartz reflection principle):

$$A_{\lambda_1 \lambda_2}(s^*) = A(s)^*$$

\rightarrow $\operatorname{Re} A_{\lambda_1 \lambda_2}(s)$ is continuous while $\operatorname{Im} A_{\lambda_1 \lambda_2}(s)$ is not

$$\left. \frac{d^2 A_{\lambda_1 \lambda_2}}{ds^2} \right|_{s=0} = \frac{2}{\pi} \int_{4m^2}^{+\infty} \frac{ds}{s^3} \left[\text{Im} A_{\lambda_1 \lambda_2}(s) + \text{Im} A_{\lambda_1 \lambda_2}(-s) \right]$$

Now use crossing:

$$A_{\lambda_1 \lambda_2 \lambda_1 \lambda_2}(-s) = A_{\lambda_1 \lambda_2 \lambda_1 \lambda_2}(s)$$

$$\left. \frac{d^2 A_{\lambda_1 \lambda_2}}{ds^2} \right|_{s=0} = \frac{4}{\pi} \int_{4m^2}^{+\infty} \frac{ds}{s^3} \text{Im} A_{\lambda_1 \lambda_2}(s)$$

✳

Now use the optical theorem:

$$\text{Im} A_{\lambda_1 \lambda_2}(s) = s \sigma_{\lambda_1 \lambda_2 \rightarrow \text{all}}(s) \equiv s \sigma_{\lambda_1 \lambda_2}(s)$$

$$\left. \frac{d^2 A_{\lambda_1 \lambda_2}}{ds^2} \right|_{s=0} = \frac{4}{\pi} \int_{4m^2}^{+\infty} \frac{ds}{s^2} \sigma_{\lambda_1 \lambda_2}(s) > 0$$

Apply this to $A_{xx} = A_{xxxx} = \frac{s^2}{4} (2a_1 + a_2)$

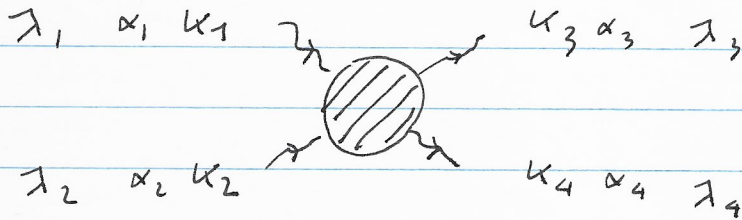
and

$$A_{xy} = A_{xyxy} = \frac{s^2}{4} a_2$$

→

$\begin{aligned} 2a_1 + a_2 &> 0 \\ a_2 &> 0 \end{aligned}$

Process: forward scattering amplitude of light by light scattering with fixed photon polarization:



here λ_i denote the γ polarization
 They can describe γ helicities: $\lambda_i = \pm 1$
 or linear polarizations: $\lambda_i = (x, y)$

$$A_{\lambda_1 \lambda_2 \lambda_3 \lambda_4}(s, t, u) = \epsilon_{\lambda_4}^{*\alpha_4} \epsilon_{\lambda_3}^{*\alpha_3} \epsilon_{\lambda_2}^{\alpha_2} \epsilon_{\lambda_1}^{\alpha_1}$$

$$M_{\alpha_1 \alpha_2 \alpha_3 \alpha_4}(k_1, k_2, k_3, k_4)$$

$$k_i^2 = 0 \quad k_{i\mu} \epsilon_{\lambda}^{\mu}(k_i) = 0$$

$$s = (k_1 + k_2)^2 \quad t = (k_1 - k_3)^2 \quad u = (k_1 - k_4)^2$$

$$s + t + u = 0$$

Forward scattering: $k_3 = k_1$ $k_1 + k_2 = k_3 + k_4$
 $t = 0$ $u = -s$ $k_2 = k_4$

$$\rightarrow A_{\lambda_1 \lambda_2 \lambda_3 \lambda_4}(s)$$

we have seen that

$$A_{\lambda_1 \lambda_2 \lambda_3 \lambda_4}(s) = [A(s) g_{\alpha_1 \alpha_3} g_{\alpha_2 \alpha_4} + B(s) g_{\alpha_1 \alpha_4} g_{\alpha_2 \alpha_3} + C(s) g_{\alpha_1 \alpha_2} g_{\alpha_3 \alpha_4}] \cdot \epsilon \epsilon \epsilon \epsilon$$