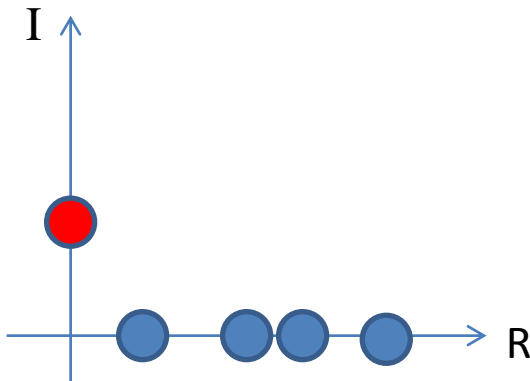
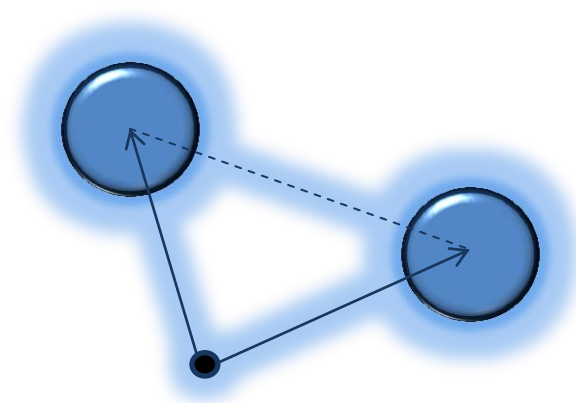


# $sp(4)$ S.G.A. for a large class of three-body problems

Lorenzo Fortunato – Univ. Padova & INFN (Italy)



lachello's students complex plane

# Connections with you and an illuminated quotation!

- Arima -> necessity of simple models
- Leviatan -> partial «solvability»
- Wolf -> symplectic (and non-compact) has important solutions, many of which are still lacking applications
- Dukelsky, Kirchbach, Garcia-Ramos -> mentioned  $su(1,1)$
- Draayer -> uses symplectic, mentioned both Rowe and Bahri
- Vitturi -> 1D three-body models are excersises for students (gosh, I'm one of his students). BUT 3D is difficult...

LIPKIN'S BOOK: «Phases, a perennial headache»

# Personal history of this work

- 2002: fundamental work by Rowe and Bahri, JPA 31 (1998) 4947-4961
- 2003-2010: Used  $sp(2)$  techniques to solve Bohr hamiltonian with Coulomb and Kratzer potentials and a variety of other potentials (lachello docet)
- 2004 (while in Belgium): got the idea to extend to two coordinates  $\rightarrow sp(4)$
- 2005-2006: tried to calculate matrix elements following E. De Souza Bernardes and failed... (but with finite dim. rep. !)
- 2007: talked with Rowe in Seattle and he said: «Could you formulate your idea in mathematical terms? »
- 2011: at the ECT\* discussions with W.de Graaf (Univ. Trento) on finite dimensional representations of  $sp(4)$
- 2012: finally understood the infinite dimensional representations of  $sp(4)$  and found an easy way to calculate matrix elements by generalizing a method that is contained in Wybourne's book!

# 2Body: Rowe –Bahri JPA 31 (1998) 4947-4961

Infinitesimal generators of  $\text{Sp}(1, \mathbb{R})$  are given by

$$[\hat{x}_j, \hat{p}_k] = i\hbar\delta_{jk}\hat{I}.$$

$$\hat{Z}_1 = p^2 = \sum_i p_i^2 \quad \hat{Z}_2 = r^2 = \sum_i x_i^2$$

$$\hat{Z}_3 = \frac{1}{2}(r \cdot p + p \cdot r) = \frac{1}{2} \sum_i (x_i p_i + p_i x_i)$$

$$\begin{aligned} [\hat{Z}_1, \hat{Z}_2] &= -4i\hbar\hat{Z}_3 & [\hat{Z}_3, \hat{Z}_1] &= 2i\hbar\hat{Z}_1 \\ [\hat{Z}_3, \hat{Z}_2] &= -2i\hbar\hat{Z}_2. \end{aligned}$$

$$\hat{X}_1 = \frac{1}{4\hbar} \left( \hat{Z}_1 - \hat{Z}_2 \right)$$

$$\hat{X}_2 = \frac{1}{2\hbar}\hat{Z}_3 \quad \hat{X}_3 = \frac{1}{4\hbar} \left( \hat{Z}_1 + \hat{Z}_2 \right)$$

$$[\hat{X}_1, \hat{X}_2] = -i\hbar\hat{X}_3 \quad [\hat{X}_2, \hat{X}_3] = i\hbar\hat{X}_1$$

$$[\hat{X}_3, \hat{X}_1] = i\hbar\hat{X}_2.$$

$\mathfrak{su}(1, 1)$

$$\hat{X}_\pm = \hat{X}_1 \pm i\hat{X}_2 \quad \hat{X}_0 = \hat{X}_3$$

$$[\hat{X}_-, \hat{X}_+] = 2\hat{X}_0 \quad [\hat{X}_0, \hat{X}_\pm] = \pm\hat{X}_\pm$$

# Rowe –Bahri continued

Positive discrete series irreps for  $\mathfrak{su}(1, 1)$  are characterized by a lowest weight  $\lambda$  with positive real values. Orthonormal bases for these irreps are given by states  $\{|n\lambda\rangle; n = 0, 1, 2, \dots\}$  which satisfy the equations

$$\lambda = \ell + \dim/2$$

$$\hat{X}_+ |n\lambda\rangle = \sqrt{(\lambda + n)(n + 1)} |n + 1, \lambda\rangle$$

$$\hat{X}_- |n + 1, \lambda\rangle = \sqrt{(\lambda + n)(n + 1)} |n\lambda\rangle$$

$$\hat{X}_0 |n\lambda\rangle = \frac{1}{2}(\lambda + 2n) |n\lambda\rangle$$



$$H = \frac{p^2}{2m} + \frac{1}{2}m\omega^2 \left( r^2 + \frac{\varepsilon}{r^2} \right)$$

$$E_{nl} = \left[ 2n + 1 + \sqrt{\left( l + \frac{1}{2} \right)^2 + \varepsilon} \right] \hbar\omega. \quad 3D$$

$$H_\varepsilon = \frac{1}{2}\hbar\omega \left( -\nabla^2 + \beta^2 + \frac{\varepsilon}{\beta^2} \right)$$

$$E_{nv}(\varepsilon) = \left[ 2n + 1 + \sqrt{\left( v + \frac{3}{2} \right)^2 + \varepsilon} \right] \hbar\omega. \quad 5D$$

Davidson potential in both cases

# Example: quartic potential

$$\hat{X}_+|n\lambda\rangle = \sqrt{(\lambda+n)(n+1)}|n+1, \lambda\rangle$$

$$\hat{X}_-|n+1, \lambda\rangle = \sqrt{(\lambda+n)(n+1)}|n\lambda\rangle$$

$$\hat{X}_0|n\lambda\rangle = \frac{1}{2}(\lambda+2n)|n\lambda\rangle$$

$$\mathcal{Z}_{1mn} = \langle m\lambda | \hat{Z}_1 | n\lambda \rangle$$

$$\mathcal{Z}_{2mn} = \langle m\lambda | \hat{Z}_2 | n\lambda \rangle$$

$$\mathcal{Z}_{3mn} = \langle m\lambda | \hat{Z}_3 | n\lambda \rangle$$

Example:

consider hamiltonians of the form:

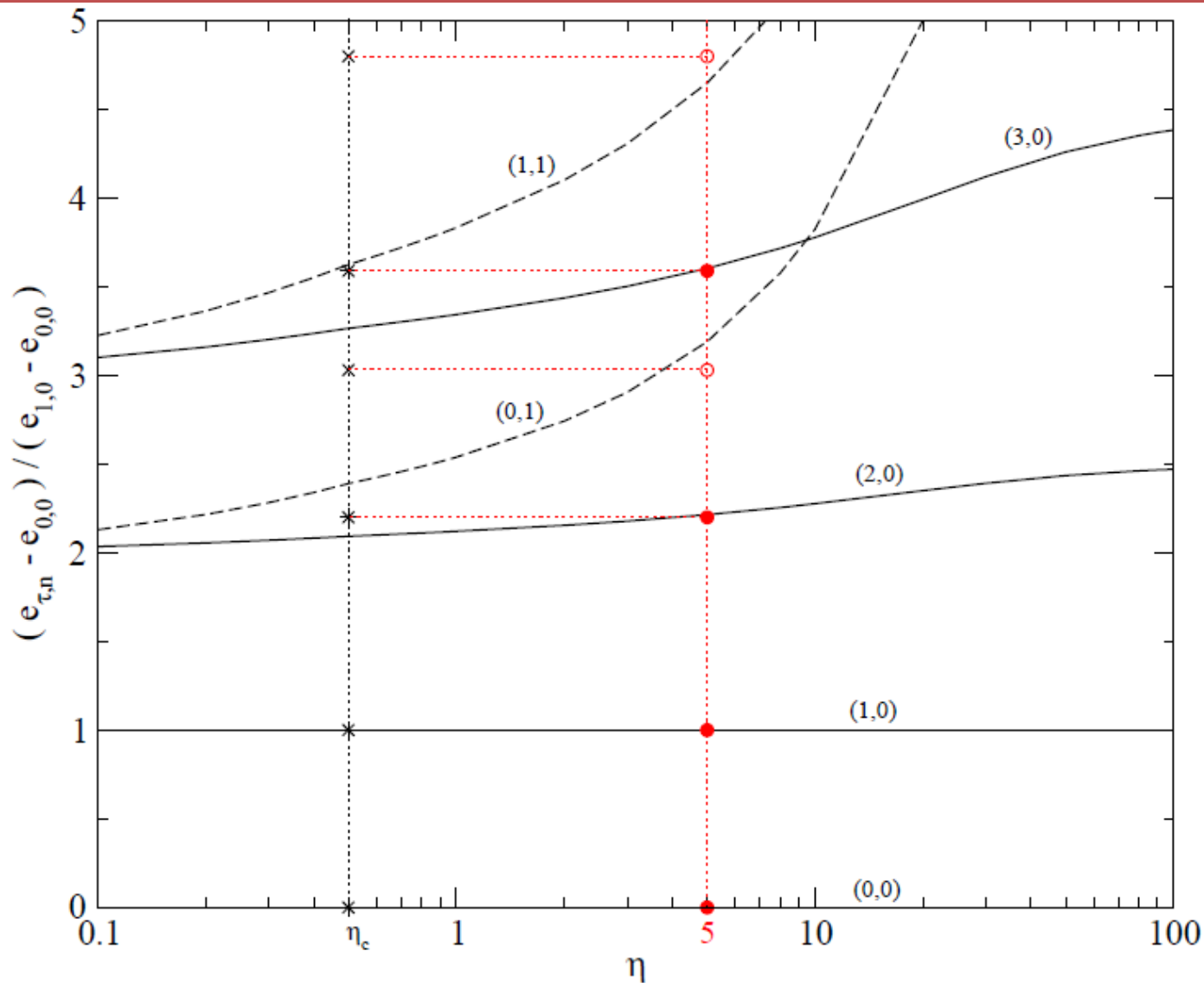
$$\hat{H} = \frac{1}{2}\mathbf{p}^2 + \alpha\mathbf{r}^{2M} = \frac{1}{2}\hat{Z}_1 + \alpha\hat{Z}_2^{2M}.$$

we take  $M = 2$ . Then  $\hat{H} = \frac{1}{2}\hat{Z}_1 + \alpha\hat{Z}_2^2$  and

$$H_{mn} = \langle m\lambda | \hat{H} | n\lambda \rangle = \frac{1}{2}\langle m\lambda | \hat{Z}_1 | n\lambda \rangle + \alpha \sum_k \langle m\lambda | \hat{Z}_2 | k\lambda \rangle \langle k\lambda | \hat{Z}_2 | n\lambda \rangle$$

Then diagonalize the matrix (truncated at a certain n-max) and find the spectrum!

# Example: van Roosmalen potential



From Camerino workshop 2005. Work inspired by Franco's E(5) solution and the thesis of one of his students (O. van Roosmalen).

$$u(\beta) = \frac{(1-\eta)}{2}\beta^2 + \frac{\eta}{4}(1-\beta^2)^2$$

# Generalization to two coordinates

$$\begin{aligned} Z_1 &= \mathbf{p}_1^2 & Z_2 &= \mathbf{r}_1^2 & Z_3 &= -\frac{i}{2}(\mathbf{p}_1 \cdot \mathbf{r}_1 + \mathbf{r}_1 \cdot \mathbf{p}_1) \\ Z_4 &= \mathbf{p}_2^2 & Z_5 &= \mathbf{r}_2^2 & Z_6 &= -\frac{i}{2}(\mathbf{p}_2 \cdot \mathbf{r}_2 + \mathbf{r}_2 \cdot \mathbf{p}_2) \end{aligned}$$

$sp(2) \oplus sp(2)$

$$\begin{aligned} Z_7 &= \mathbf{p}_1 \cdot \mathbf{p}_2 \\ Z_9 &= i\mathbf{p}_1 \cdot \mathbf{r}_2 \end{aligned}$$

$$\begin{aligned} Z_8 &= \mathbf{r}_1 \cdot \mathbf{r}_2 \\ Z_{10} &= i\mathbf{r}_1 \cdot \mathbf{p}_2 \end{aligned}$$

$sp(4)$

$$\begin{aligned} r_{12} &= |\mathbf{r}_1 - \mathbf{r}_2| = \\ &= \sqrt{r_1^2 + r_2^2 - 2\mathbf{r}_1 \cdot \mathbf{r}_2}. \end{aligned}$$

Interparticle distance !  
This allows to introduce mutual interactions between particles!  
Think to the possibilities!



# They close into the $sp(4)$ Lie algebra

	$Z_1$	$Z_2$	$Z_3$	$Z_4$	$Z_5$	$Z_6$	$Z_7$	$Z_8$	$Z_9$	$Z_{10}$
$Z_1$	0	$-4iZ_3$	$-2iZ_1$	0	0	0	0	$-2Z_9$	0	$2Z_7$
$Z_2$		0	$2iZ_2$	0	0	0	$2Z_{10}$	0	$-2Z_8$	0
$Z_3$			0	0	0	0	$iZ_7$	$-iZ_8$	$iZ_9$	$-iZ_{10}$
$Z_4$				0	$-4iZ_6$	$-2iZ_4$	0	$-2Z_{10}$	$2Z_7$	0
$Z_5$					0	$2iZ_5$	$2Z_9$	0	0	$-2Z_8$
$Z_6$						0	$iZ_7$	$-iZ_8$	$-iZ_9$	$iZ_{10}$
$Z_7$							0	$-iZ_3 - iZ_6$	$Z_1$	$Z_4$
$Z_8$								0	$-Z_5$	$-Z_2$
$Z_9$									0	$-iZ_3 + iZ_6$

Commutation relations [ $row, col$ ]

Commutators of the type [ $Z_{>i}, Z_i$ ] are found by antisymmetry.

The structure constants and root system are those of the  $sp(4) \sim so(5)$  Lie algebra: this is checked also with the GAP computer program that allows for symbolic calculations.

# 1° mapping to Cartan-Weyl form and root diagram

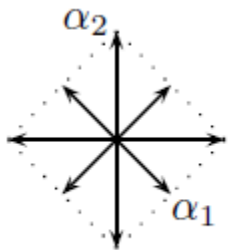
$$\begin{aligned} Z_1 &= 2X_0 + X_+ + X_- & Z_4 &= 2Y_0 + Y_+ + Y_- \\ Z_2 &= 2X_0 - X_+ - X_- & Z_5 &= 2Y_0 - Y_+ - Y_- \\ Z_3 &= i(X_- - X_+) & Z_6 &= i(Y_- - Y_+) \end{aligned}$$

$$Z_7 = \frac{1}{4}(-T_{--} + T_{-+} + T_{+-} + T_{++})$$

$$Z_8 = \frac{1}{4}(T_{--} + T_{-+} + T_{+-} - T_{++})$$

$$Z_9 = \frac{1}{4}(T_{--} + T_{-+} - T_{+-} + T_{++})$$

$$Z_{10} = \frac{1}{4}(T_{--} - T_{-+} + T_{+-} + T_{++})$$



$$\alpha_1 = [1, -1]_{FWS}$$

$$\alpha_2 = [0, 2]_{FWS}$$

$$\alpha_3 = [1, 1]_{FWS}$$

$$\alpha_4 = [2, 0]_{FWS}$$

$C_2$  roots in the  
fundamental weight  
system FWS

## 2° Mapping to bosonic operators

$$\begin{aligned}
 X_0 &= \frac{1}{2} (b_2^\dagger b_2 - b_1^\dagger b_1) & Y_0 &= \frac{1}{2} (b_4^\dagger b_4 - b_3^\dagger b_3) & & \text{4 bosonic modes} \\
 X_+ &= -ib_2^\dagger b_1 & Y_+ &= -ib_4^\dagger b_3 \\
 X_- &= -ib_1^\dagger b_2 & Y_- &= -ib_3^\dagger b_4 \\
 T_{--} &= \frac{1}{2} (-b_4^\dagger b_1 - b_2^\dagger b_3) & T_{++} &= \frac{1}{2} (-b_1^\dagger b_4 - b_3^\dagger b_2) \\
 T_{+-} &= \frac{i}{2} (b_4^\dagger b_2 + b_1^\dagger b_3) & T_{-+} &= \frac{i}{2} (-b_2^\dagger b_4 - b_3^\dagger b_1)
 \end{aligned}$$

$$|a, b, c, d\rangle = N(a, b, c, d) \xi_1^a \xi_2^b \xi_3^c \xi_4^d$$

$$b_i = \partial / \partial \xi_i$$

$$b_i^\dagger = \xi_i$$

Monomials in the 4 variables

$$a \leftrightarrow n \quad b \leftrightarrow -\lambda - n \quad c \leftrightarrow m \quad d \leftrightarrow -\mu - m$$

Obtained from the comparison of Casimir operators (there are dual relationships, but these are simpler)

# Action of the operators on the basis states

$$X_0 | n\lambda m\mu \rangle = (n + \lambda/2) | n\lambda m\mu \rangle$$

$$X_+ | n\lambda m\mu \rangle = \sqrt{(\lambda + n)(n + 1)} | n + 1, \lambda, m\mu \rangle$$

$$X_- | n\lambda m\mu \rangle = \sqrt{(\lambda + n - 1)n} | n - 1, \lambda m\mu \rangle$$

The corresponding action of the  $Y$  operators is obtained by replacing  $\lambda \leftrightarrow \mu$  and  $n \leftrightarrow m$ ,

$$T_{++} | n\lambda m\mu \rangle = -\frac{i}{2}\sqrt{(\mu + m)(n + 1)} | n + 1, \lambda - 1, m, \mu + 1 \rangle + \frac{i}{2}\sqrt{(\lambda + n)(m + 1)} | n, \lambda + 1, m + 1, \mu - 1 \rangle$$

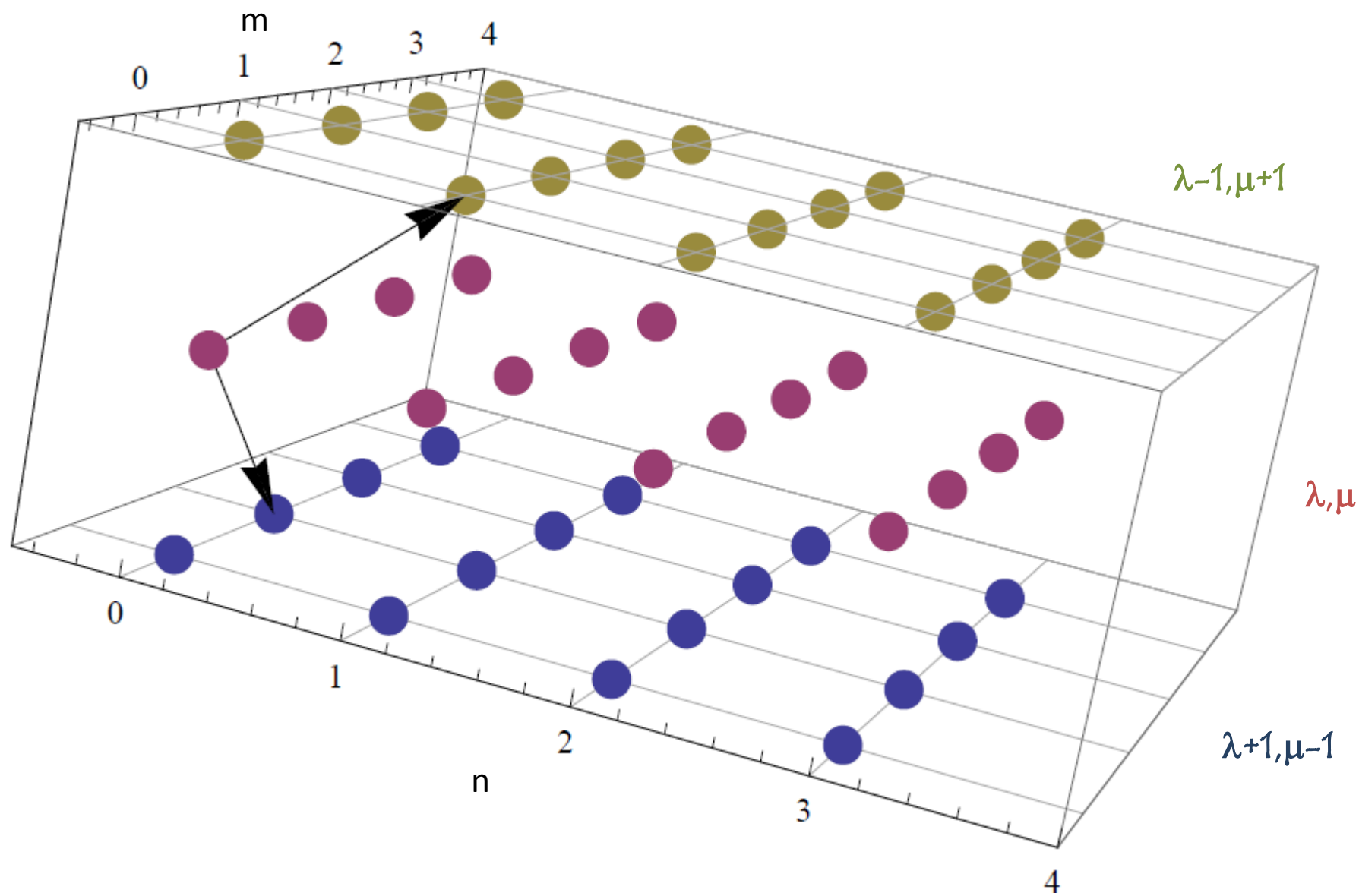
$$T_{--} | n\lambda m\mu \rangle = -\frac{i}{2}\sqrt{(\mu + m - 1)n} | n - 1, \lambda + 1, m, \mu - 1 \rangle + \frac{i}{2}\sqrt{(\lambda + n - 1)m} | n, \lambda - 1, m - 1, \mu + 1 \rangle$$

$$T_{-+} | n\lambda m\mu \rangle = -\frac{i}{2}\sqrt{(\lambda + n - 1)(\mu + m)} | n, \lambda - 1, m, \mu + 1 \rangle - \frac{i}{2}\sqrt{n(m + 1)} | n - 1, \lambda + 1, m + 1, \mu - 1 \rangle$$

$$T_{+-} | n\lambda m\mu \rangle = \frac{i}{2}\sqrt{(\lambda + n)(\mu + m - 1)} | n, \lambda + 1, m, \mu - 1 \rangle + \frac{i}{2}\sqrt{(n + 1)(m + 1)} | n + 1, \lambda - 1, m - 1, \mu + 1 \rangle$$

This is new !

# Action of $T_{++}$



# Summary so far

$p^2$  and  $r^2$   
PHYSICS



Z-operators  
ALGEBRA



X-operators  
ROOT DIAGRAM



Bosonic oper.  
ACTION

$$Z_1 = \begin{pmatrix} \frac{3}{2} & 0 & \sqrt{\frac{3}{2}} & 0 & 0 & 0 & 0 & 0 \\ 0 & \frac{3}{2} & 0 & 0 & 0 & 0 & 0 & 0 \\ \sqrt{\frac{3}{2}} & 0 & \frac{7}{2} & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \frac{3}{2} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{3}{2} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \frac{5}{2} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \frac{5}{2} & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \frac{7}{2} \end{pmatrix}$$

$$Z_2 = \begin{pmatrix} \frac{3}{2} & 0 & -\sqrt{\frac{3}{2}} & 0 & 0 & 0 & 0 & 0 \\ 0 & \frac{3}{2} & 0 & 0 & 0 & 0 & 0 & 0 \\ -\sqrt{\frac{3}{2}} & 0 & \frac{7}{2} & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \frac{3}{2} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{3}{2} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \frac{5}{2} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \frac{5}{2} & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \frac{7}{2} \end{pmatrix}$$

# This is how you set up hamiltonian-matrices

$$\begin{pmatrix} 3 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 5 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 5 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 4 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 5 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 4 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 5 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 5 \end{pmatrix}$$

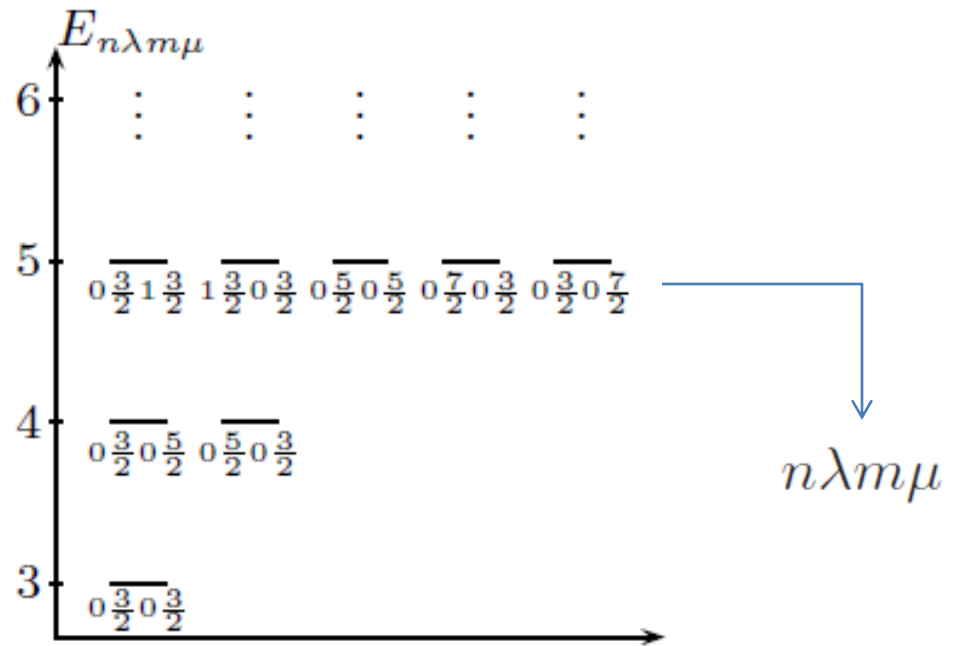
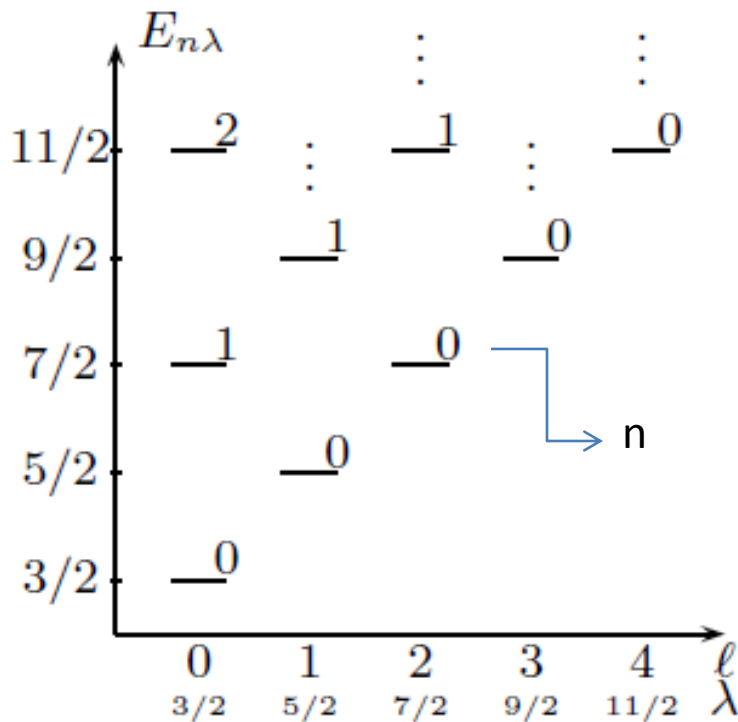
$$= H = (Z_1 + Z_2 + Z_4 + Z_5)/2$$

$$H' = (Z_1 + Z_2 + Z_4 + Z_5 * Z_5)/2$$

$$\begin{pmatrix} \frac{33}{8} & -\sqrt{6} & 0 & 0 & 0 & 0 & 0 & 0 \\ -\sqrt{6} & \frac{81}{8} & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & \frac{43}{8} & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & \frac{47}{8} & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & \frac{75}{8} & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & \frac{35}{8} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & \frac{55}{8} & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & \frac{43}{8} \end{pmatrix}$$

# Trivial application: two indep. H.O.


$$H = (\mathbf{p}_1^2 + \mathbf{p}_2^2 + \mathbf{r}_1^2 + \mathbf{r}_2^2)/2 = (Z_1 + Z_2 + Z_4 + Z_5)/2$$



This probes only the  $\mathfrak{sp}(2) \oplus \mathfrak{sp}(2)$  part of the algebra (it's a double copy of Rowe's)



# More challenging test of the $sp(4)$ m.e.

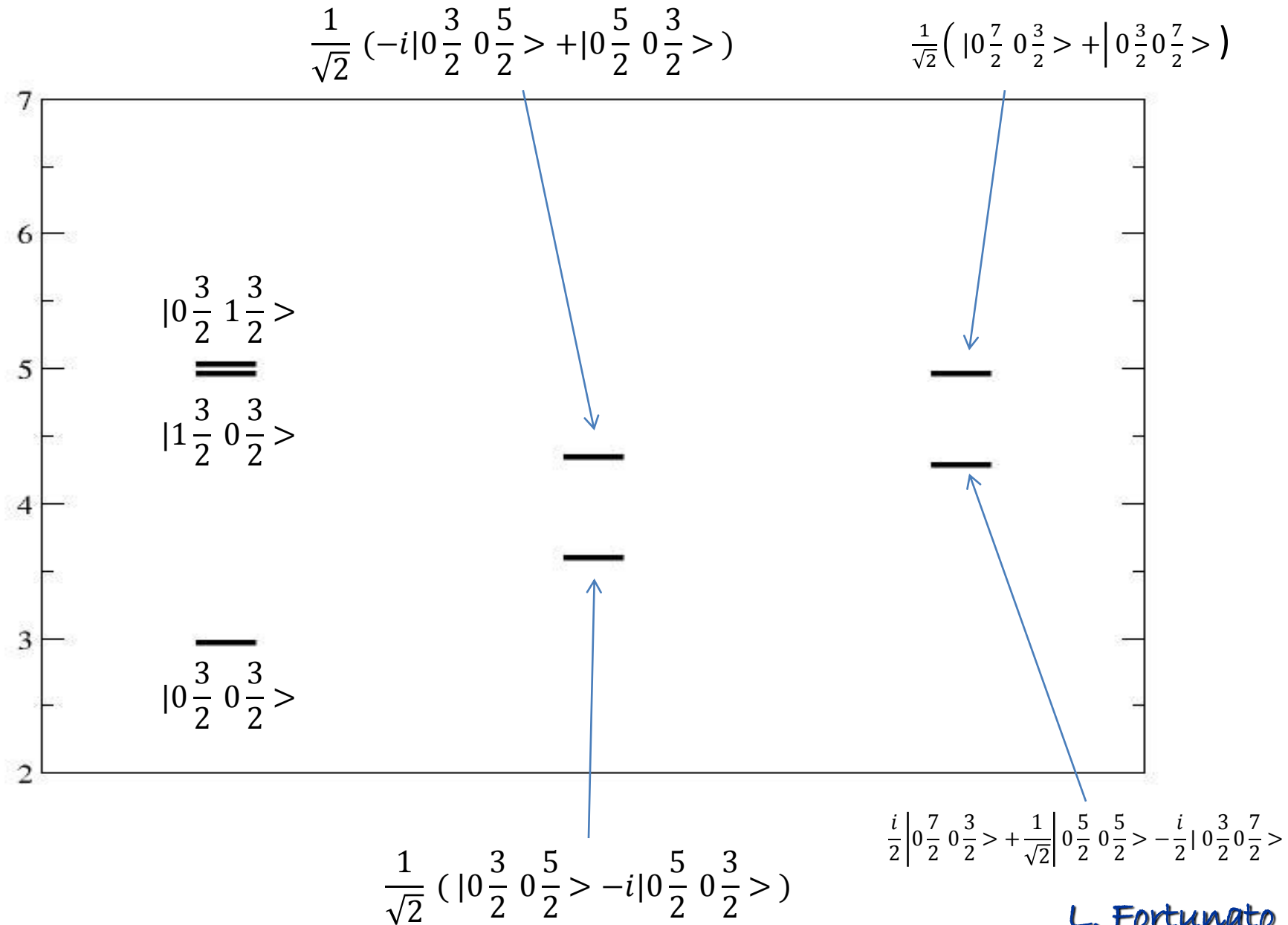
$$\begin{aligned} H &= (\mathbf{p}_1^2 + \mathbf{p}_2^2 - 2\mathbf{p}_1 \cdot \mathbf{p}_2 + \mathbf{r}_1^2 + \mathbf{r}_2^2 - 2\mathbf{r}_1 \cdot \mathbf{r}_2)/2 \\ &= \frac{1}{2}(\mathbf{p}_1 - \mathbf{p}_2)^2 + \frac{1}{2}(\mathbf{r}_1 - \mathbf{r}_2)^2 \\ &= (Z_1 + Z_2 - 2Z_7 + Z_4 + Z_5 - 2Z_8)/2 \end{aligned}$$


This hamiltonian probes the genuine  $sp(4)$  part of the algebra. A subset of the spectrum must brew up to give a harmonic oscillator pattern:

Eigenvalues = { 13., 11., 9., 9., 9., 9., 9., 9., 9., 9., 9., 9., 9., 9., 9., 9., 9., 9., 9., 9., 7., 7., 7., 7., 7., 7., 7., 7., 7., 7., 5., 5., 5., 3. }

Matrices here are just 56 x 56 having used all the  $\lambda=\mu=0$  states up to 10 quanta  
One gets exact energies and exact degeneration patterns.

# Lowest eigenstates



# A new 3D analytic solution!

$$\begin{aligned} H &= \frac{\mathbf{p}_1^2}{2m} + \frac{\mathbf{p}_2^2}{2m} + k \frac{r_1^2}{2} + k \frac{r_2^2}{2} + k' \frac{r_{12}^2}{2} \\ &= Z_1/2m + Z_4/2m + kZ_2/2 + kZ_5/2 + k' r_{12}^2/2 \end{aligned}$$

Now, please guess the energy of the ground state of this hamiltonian!  
Take  $\hbar=k=k'=m=1$  for simplicity.

Come on, time's ticking away!

$$E_{g.s.} = 3\sqrt{2}$$

A **subset** of the eigenstates has nice mathematical expressions.

Numerical solution gives: 4.24264, 7.07107, 9.89949, ...

that are nothing but :  $3\sqrt{2}$ ,  $5\sqrt{2}$ ,  $7\sqrt{2}$ , ...

I have now an analytic proof of this fact based on a 6D argument.  
This is a generalization to 3D of known 1D models (Morse-Feshbach)

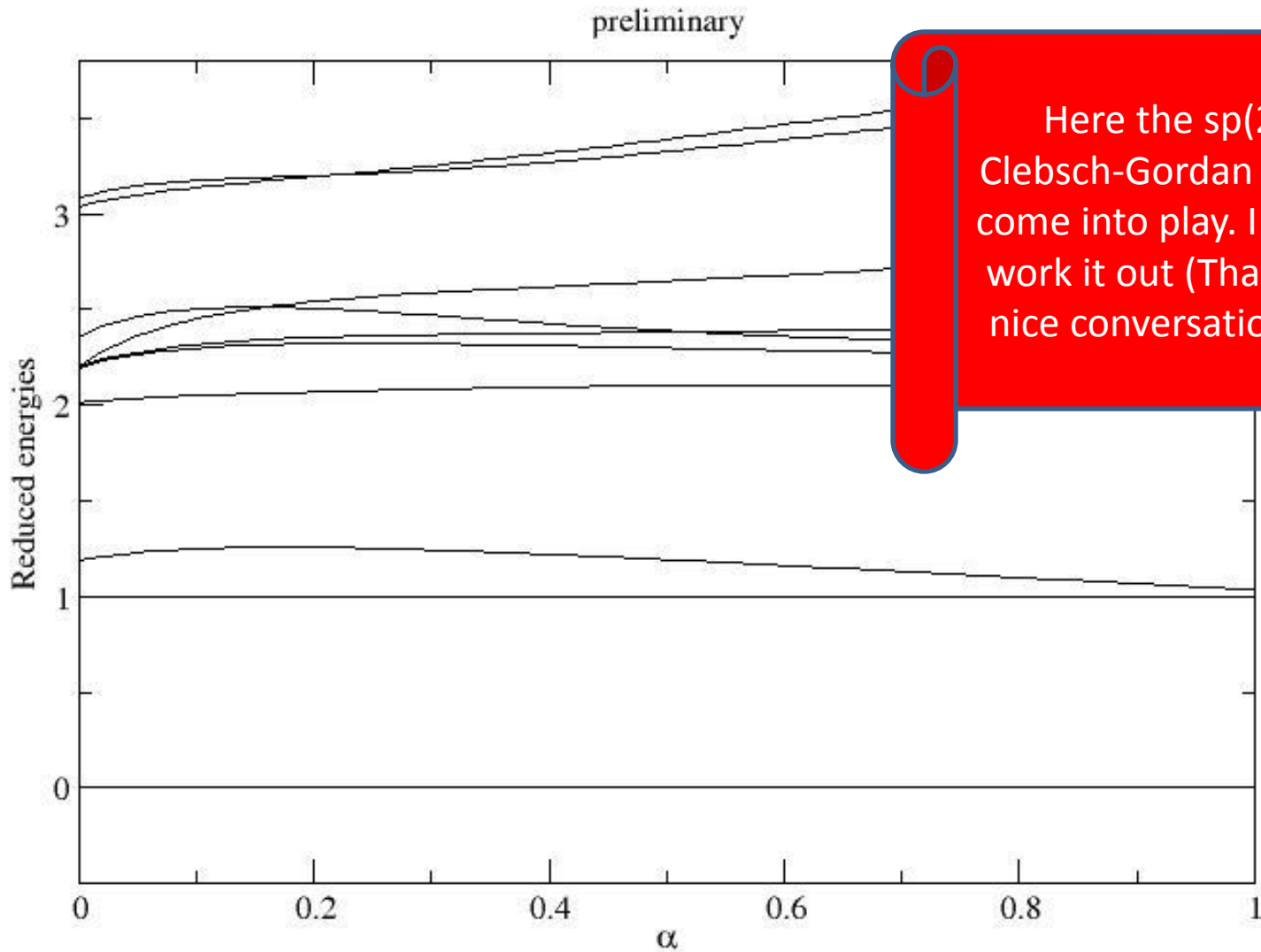
# An interesting model for pairing interaction

Choose a Landau-type potential for the mutual interaction between particles

$$H = (\mathbf{p}_1^2 + \mathbf{p}_2^2 + \mathbf{r}_1^2 + \mathbf{r}_2^2)/2 + \overbrace{\left(\frac{1}{2} - \alpha\right)r_{12}^2 + \alpha r_{12}^4}^{V(r_{12})}$$
$$= (Z_1 + Z_2)/2 + \left(\frac{3}{2} - \alpha\right)(Z_2 + Z_5) + (\alpha - 1)Z_7 + \alpha(Z_2 + Z_5 - 2Z_7)^2$$

- There is no reason why this should be a phase transition,  $V(r_{12})$  is only part of the total potential felt by the two particles.
- Eigenstates will be mixtures of states with good quantum numbers  $|n\lambda m\mu\rangle$
- Do we need projection on states of good total angular momentum?
- Clebsch-Gordan coefficients for  $su(1,1)$

# Spectrum



Here the  $sp(2)+sp(2)$  Clebsch-Gordan coefficients come into play. I still have to work it out (Thanks Piet for nice conversation on this ).

# Perspective

Very general problems become tractable :

- Let's say 20 quanta
- Matrix element calculation time about 1 min
- Diagonalization of 'reasonable' hamiltonians in 5 seconds or so...

$$H = \frac{p_1^2}{2m} + \frac{p_2^2}{2m} + V_A(r_1^2) + V_B(r_2^2) + V_C(r_{12}^2)$$

One could treat equally well operators with higher powers of  $p^2$  or with velocity dependent terms (remember  $\vec{r}_i \cdot \vec{p}_j$  are also elements of the algebra).

# Summary: a whole new approach

- A useful realization of the  $sp(4)$  Lie algebra has been introduced that contains important scalar operators made up with positions and momenta of two coordinates
- This algebra has been mapped to Cartan-Weyl form (to identify step and weight operators) and then mapped to Bosonic operators (to easily calculate matrix elements)
- A large class of three-body hamiltonians can be written as polynomials in the elements of  $sp(4)$  and then diagonalized in a properly truncated doubly-infinite basis
- [Do you have suggestions for important/useful applications? Atomic physics? Quantum dots? Molecular physics? Ab initio calculations?]

Extensions and developments are in sight:

1. 3D Calogero-Sutherland models (!)
2. Isomorphical S.G.A. for the Helium atom – exact treatment (!!!)
3. 3 coordinates very general exact four-body problems based on  $sp(6)$  (!!!)
4. etc.  $sp(2n)$

Thanks FRANCO for stirring the course of our field of studies with a firm hand into such a beautiful sea of symmetries in physics

and

thank you for your attention