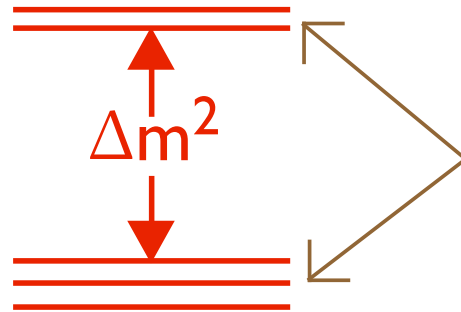


# Probability for Neutrino Oscillation in Vacuum

$$\begin{aligned} P(\nu_\alpha \rightarrow \nu_\beta) &= |\text{Amp}(\nu_\alpha \rightarrow \nu_\beta)|^2 = \\ &= \delta_{\alpha\beta} - 4 \sum_{i>j} \Re(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin^2\left(\Delta m_{ij}^2 \frac{L}{4E}\right) \\ &\quad + 2 \sum_{i>j} \Im(U_{\alpha i}^* U_{\beta i} U_{\alpha j} U_{\beta j}^*) \sin\left(\Delta m_{ij}^2 \frac{L}{2E}\right) \end{aligned}$$

where  $\Delta m_{ij}^2 \equiv m_i^2 - m_j^2$

# When One Big $\Delta m^2$ Dominates



These splittings are invisible if  $\Delta m^2 \frac{L}{E} = \mathcal{O}(1)$ .

For  $\beta \neq \alpha$ ,

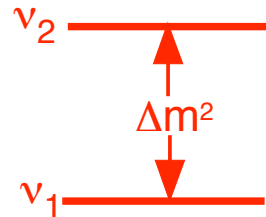
$$P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) \cong S_{\alpha\beta} \sin^2\left(\Delta m^2 \frac{L}{4E}\right); \quad S_{\alpha\beta} \equiv 4 \left| \sum_{i \text{ Clump}} U_{\alpha i}^* U_{\beta i} \right|^2.$$

For no flavor change,

$$P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\alpha) \cong 1 - 4T_\alpha(1 - T_\alpha) \sin^2\left(\Delta m^2 \frac{L}{4E}\right); \quad T_\alpha \equiv \sum_{i \text{ Clump}} |U_{\alpha i}^*|^2.$$

“i Clump” is a sum over only the mass eigenstates on one end of the big gap  $\Delta m^2$ .

# When There are Only Two Flavors and Two Mass Eigenstates



$$U = \begin{matrix} \nu_\alpha \\ \nu_\beta \end{matrix} \begin{bmatrix} \nu_1 & \nu_2 \\ \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix} ; \quad S_{\alpha\beta} = 4T_\alpha(1 - T_\alpha) = \sin^2 2\theta$$

Mixing angle

For  $\beta \neq \alpha$ , 
$$P(\nu_\alpha^{(-)} \leftrightarrow \nu_\beta^{(-)}) = \sin^2 2\theta \sin^2\left(\Delta m^2 \frac{L}{4E}\right) .$$

For no flavor change, 
$$P(\nu_\alpha^{(-)} \rightarrow \nu_\alpha^{(-)}) = 1 - \sin^2 2\theta \sin^2\left(\Delta m^2 \frac{L}{4E}\right) .$$



# The New World of Neutrino Physics

Part One

Boris Kayser

Fermilab

*Feb. 9, 2006*

# Evidence For Flavor Change

## Neutrinos

## Evidence of Flavor Change

Solar

Compelling

Reactor

Compelling

( $L \sim 180$  km)

Atmospheric

Compelling

Accelerator

Very Strong

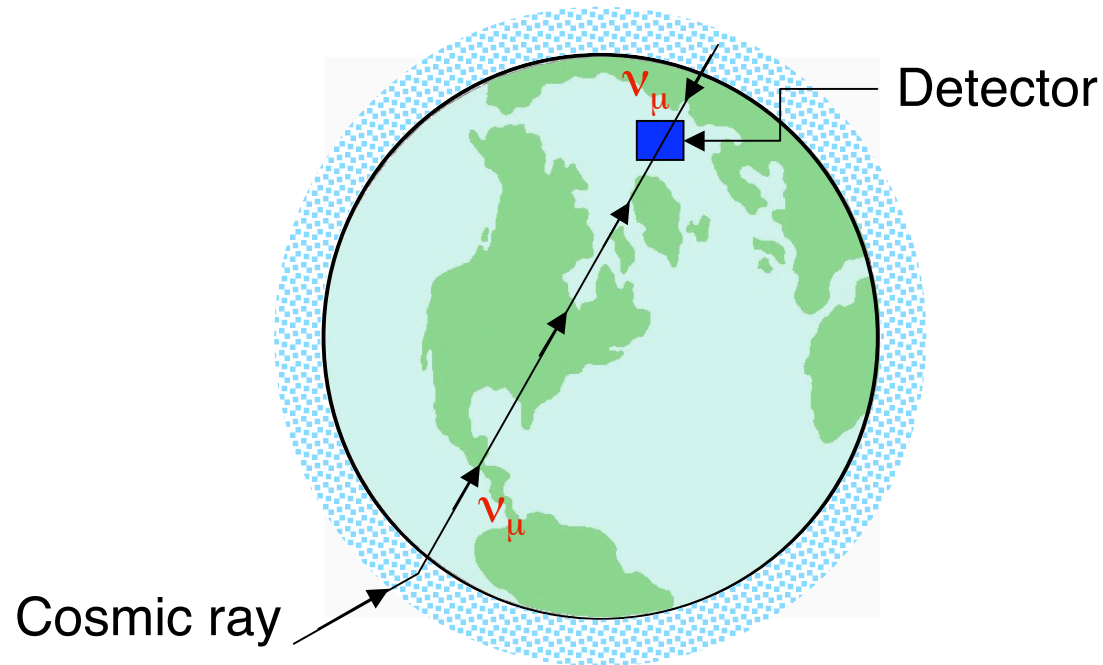
( $L = 250$  km)

Stopped  $\mu^+$  Decay

Unconfirmed

( LSND  
( $L \approx 30$  m)

# Atmospheric Neutrinos



Isotropy of the  $\gtrsim 2$  GeV cosmic rays + Gauss' Law + No  $\nu_\mu$  disappearance

$$\Rightarrow \frac{\phi_{\nu_\mu}(\text{Up})}{\phi_{\nu_\mu}(\text{Down})} = 1 .$$

But Super-Kamiokande finds for  $E_\nu > 1.3$  GeV

$$\frac{\phi_{\nu_\mu}(\text{Up})}{\phi_{\nu_\mu}(\text{Down})} = 0.54 \pm 0.04 .$$

Half of the upward-going, long-distance-traveling  $\nu_\mu$  are disappearing.

Voluminous atmospheric neutrino data are well described by —

$$\nu_\mu \longrightarrow \nu_\tau$$

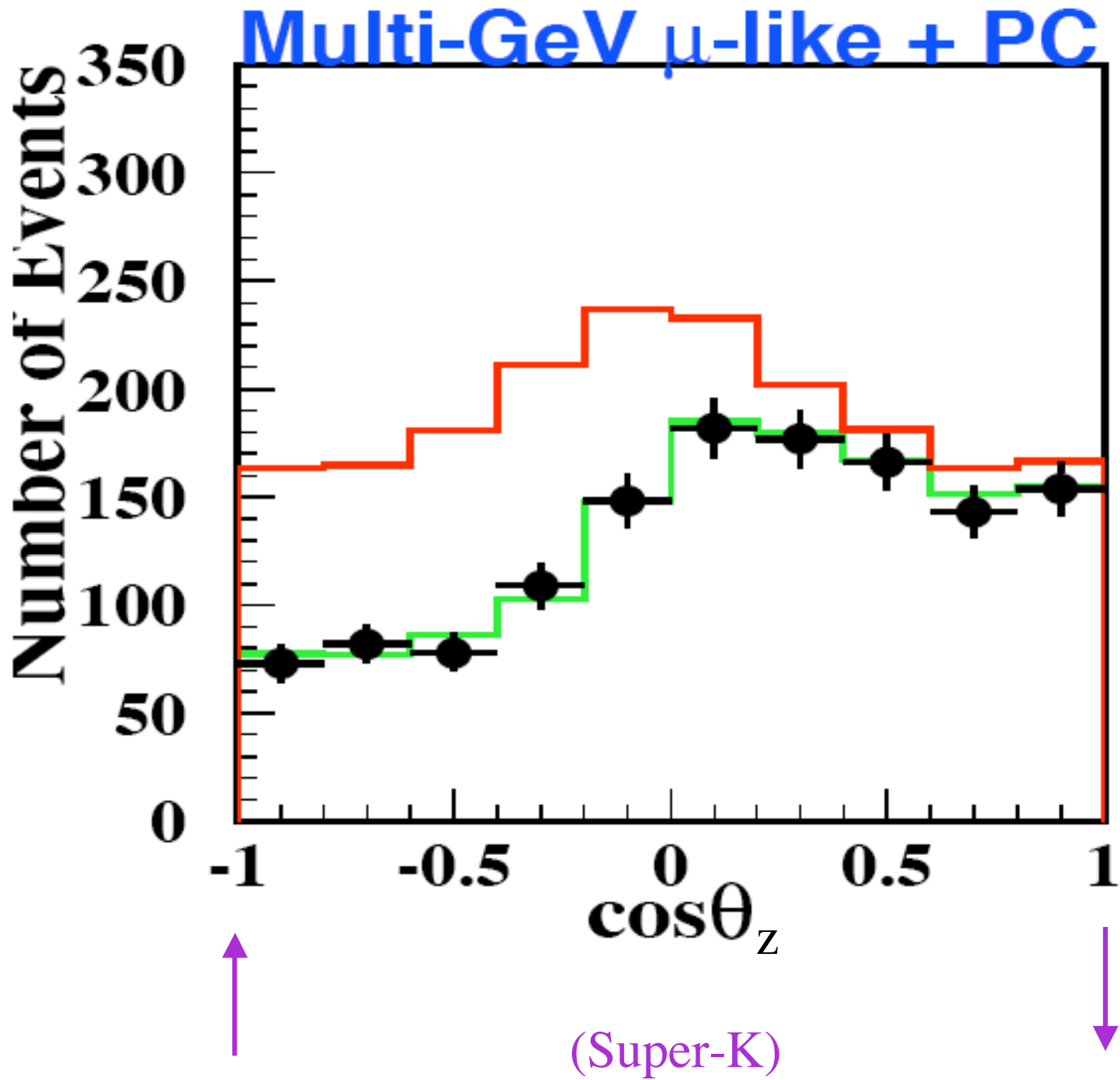
with —

$$1.9 \times 10^{-3} < \Delta m_{\text{atm}}^2 < 3.0 \times 10^{-3} \text{ eV}^2$$

and —

$$\sin^2 2\theta_{\text{atm}} > 0.92$$

(Super-K)  
(90%CL)





# Solar Neutrinos

History –

Nuclear reactions in the core of the sun  
produce  $\nu_e$ . Only  $\nu_e$ .



Theorists, especially **John Bahcall**, calculated the produced  $\nu_e$  flux vs. energy  $E$ .



Ray Davis' Homestake experiment measured the higher-E part of the  $\nu_e$  flux  $\phi_{\nu_e}$  that arrives at earth.

The Homestake experiment could detect only  $\nu_e$ . It found:

$$\frac{\phi_{\nu_e}(\text{Homestake})}{\phi_{\nu_e}(\text{Theory})} = 0.34 \pm 0.06$$

## The Possibilities:

The theory was wrong.

The experiment was wrong.

Both were wrong.

Neither was wrong. Two thirds of the  $\nu_e$  flux morphs into a flavor or flavors that the Homestake experiment could not see.

## The Resolution —

Sudbury Neutrino Observatory (SNO) measures, for the high-energy part of the solar neutrino flux:

$$\nu_{\text{sol}} \text{d} \rightarrow \text{e p p} \Rightarrow \phi_{\nu_e}$$

$$\nu_{\text{sol}} \text{d} \rightarrow \nu \text{n p} \Rightarrow \phi_{\nu_e} + \phi_{\nu_\mu} + \phi_{\nu_\tau}$$

---

From the two reactions,

$$\frac{\phi_{\nu_e}}{\phi_{\nu_e} + \phi_{\nu_\mu} + \phi_{\nu_\tau}} = 0.340 \pm 0.023 \text{ (stat)} \pm 0.030 \text{ (syst)}$$

Clearly,  $\phi_{\nu_\mu} + \phi_{\nu_\tau} \neq 0$ . Neutrinos change flavor.

Change of flavor does not change the total number of neutrinos.

The total flux,  $\phi_{\nu_e} + \phi_{\nu_\mu} + \phi_{\nu_\tau}$ , should agree with Bahcall's prediction.

$$\text{SNO: } \phi_{\nu_e} + \phi_{\nu_\mu} + \phi_{\nu_\tau} = (4.94 \pm 0.21 \pm 0.36) \times 10^6/\text{cm}^2\text{sec}$$

$$\text{Theory*}: \quad \phi_{\text{total}} = (5.69 \pm 0.91) \times 10^6/\text{cm}^2\text{sec}$$

\*Bahcall, Basu, Serenelli

---

John Bahcall and Ray Davis both stuck to their guns for several decades, and both were right all along.

The now-established mechanism for solar  $\nu_e \rightarrow \nu_\mu / \nu_\tau$  is not oscillation in vacuum but the —

Large Mixing Angle —

Mikheyev Smirnov Wolfenstein

— Effect.

This effect occurs as the neutrinos stream outward through solar material. It requires both interactions with matter and **neutrino mass and mixing**.

For the solar neutrinos, the interaction with matter changes the evolution of the neutrino “beam” considerably.

Matter effects on the evolution of  $\nu$  and  $\bar{\nu}$  beams will be covered by **Stephen Parke**.

# Reactor (Anti)Neutrinos

The CHOOZ reactor experiment, with a detector  $\sim 1\text{km}$  from the source, tells us that, to a good approximation,  $\nu_e$  is made up of just 2 mass eigenstates.

As a result, solar neutrino behavior is approximately a two-neutrino problem.

The vacuum neutrino properties  $\Delta m_{\text{sol}}^2$  and  $\theta_{\text{sol}}$  implied by LMA-MSW are —

$$\Delta m_{\text{sol}}^2 \sim 8 \times 10^{-5} \text{ eV}^2 ; \theta_{\text{sol}} \sim 35^\circ .$$



The fractional importance of matter effects on an oscillation involving a vacuum splitting  $\Delta m^2$  is —

$$\frac{\text{Interaction energy}}{\text{Vacuum energy}} = \frac{[(G_{\text{Fermi}}/\sqrt{2})N_e]}{[\Delta m^2/4E]} \equiv x .$$

↑  
Density of electrons

For  $\Delta m^2 = \Delta m^2_{\text{sol}} \sim 8 \times 10^{-5} \text{ eV}^2$ ,

$$x = 2.5 \times 10^{-3} E(\text{MeV}) .$$

At reactor energies of a few MeV,  
**this is negligible.**

The **KamLAND** detector is  $\sim 180$  km from reactor  $\bar{\nu}_e$  sources.

For **KamLAND**, at say 3 MeV, the argument of —

$$\sin^2[1.27\Delta m_{\text{sol}}^2(\text{eV}^2)L(\text{km})/E(\text{GeV})]$$

is —

$$3.9 \times (\pi/2).$$

The experiment sees an energy-averaged oscillation.

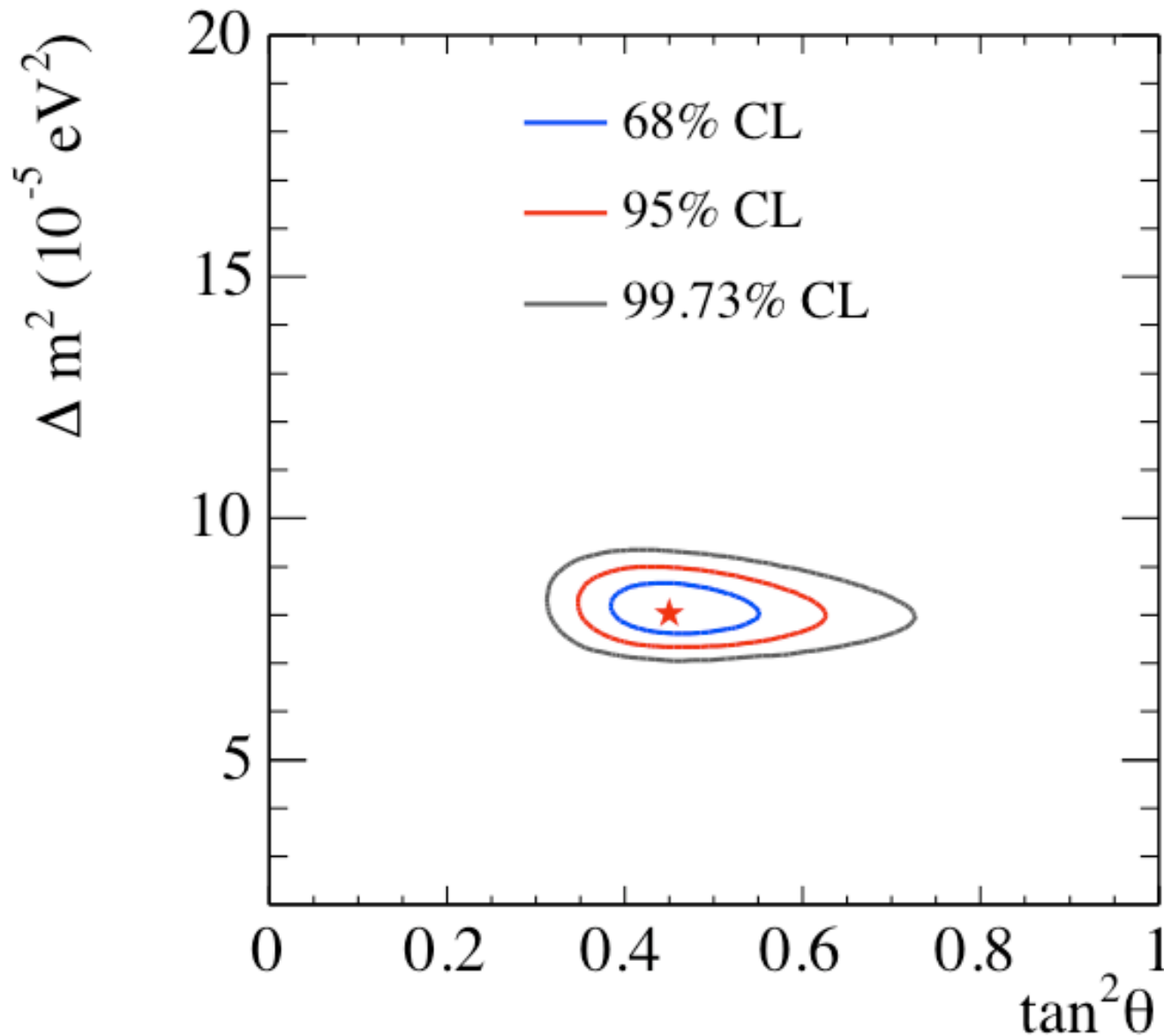
It should see substantial disappearance of  $\bar{\nu}_e$  flux.

KamLAND actually does see —

$$\frac{\phi_{\bar{\nu}_e}}{\phi_{\bar{\nu}_e} \Big|_{\substack{\text{No} \\ \text{Disappearance}}}} = 0.658 \pm 0.044(\text{stat}) \pm 0.047(\text{syst}) .$$

Reactor  $\bar{\nu}_e$  do disappear.

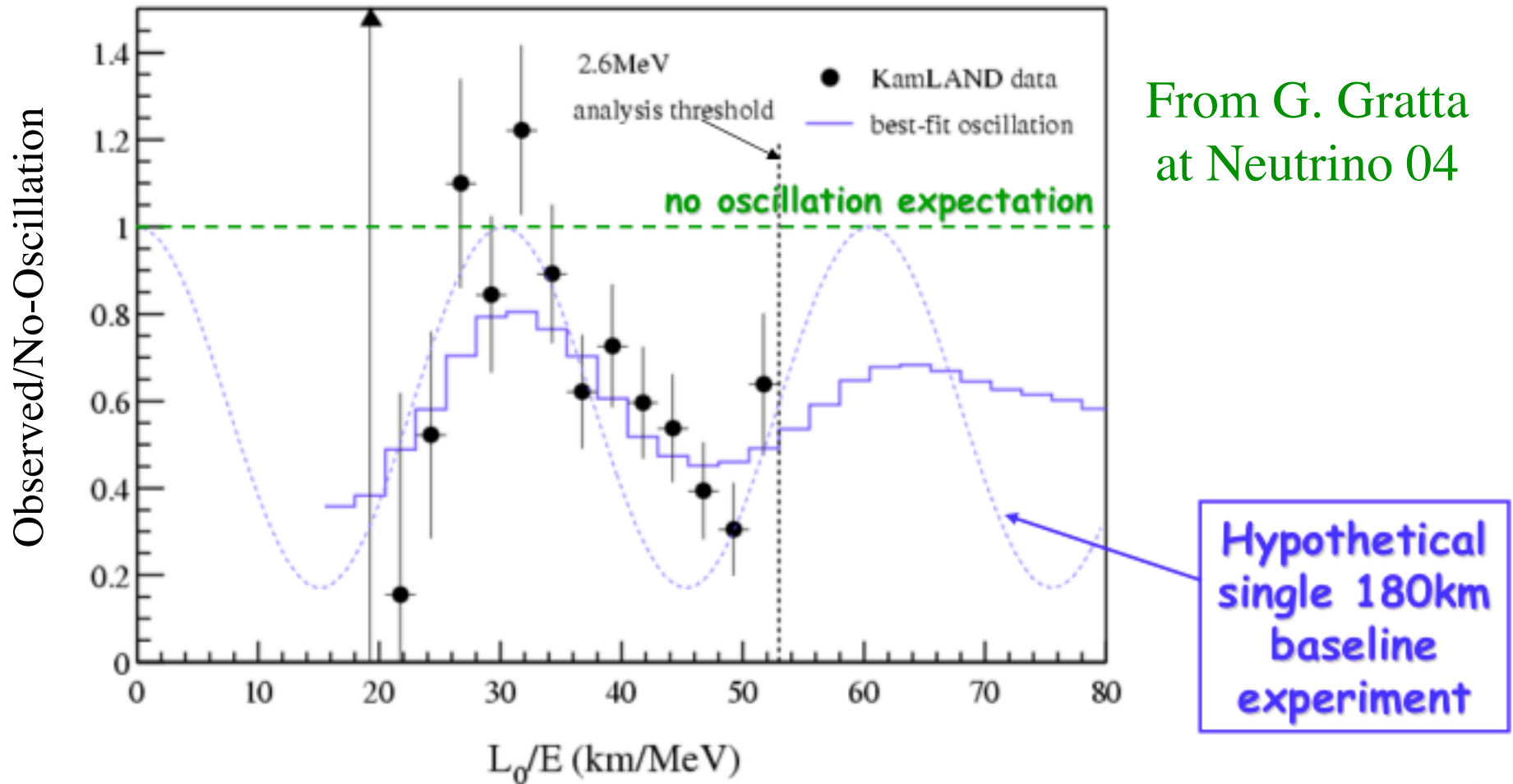
Flavor change, with  $\Delta m^2_{\text{sol}}$  and  $\theta_{\text{sol}}$  in the LMA-MSW range, fits both the solar and reactor data.



From  
nucl-ex/  
0502021

Solar  $\Delta m^2$  and mixing angle from SNO analysis of  
solar neutrino and KamLAND data

# Evidence for the $\nu_{\mu} \rightarrow \nu_{\tau}$ of flavor change

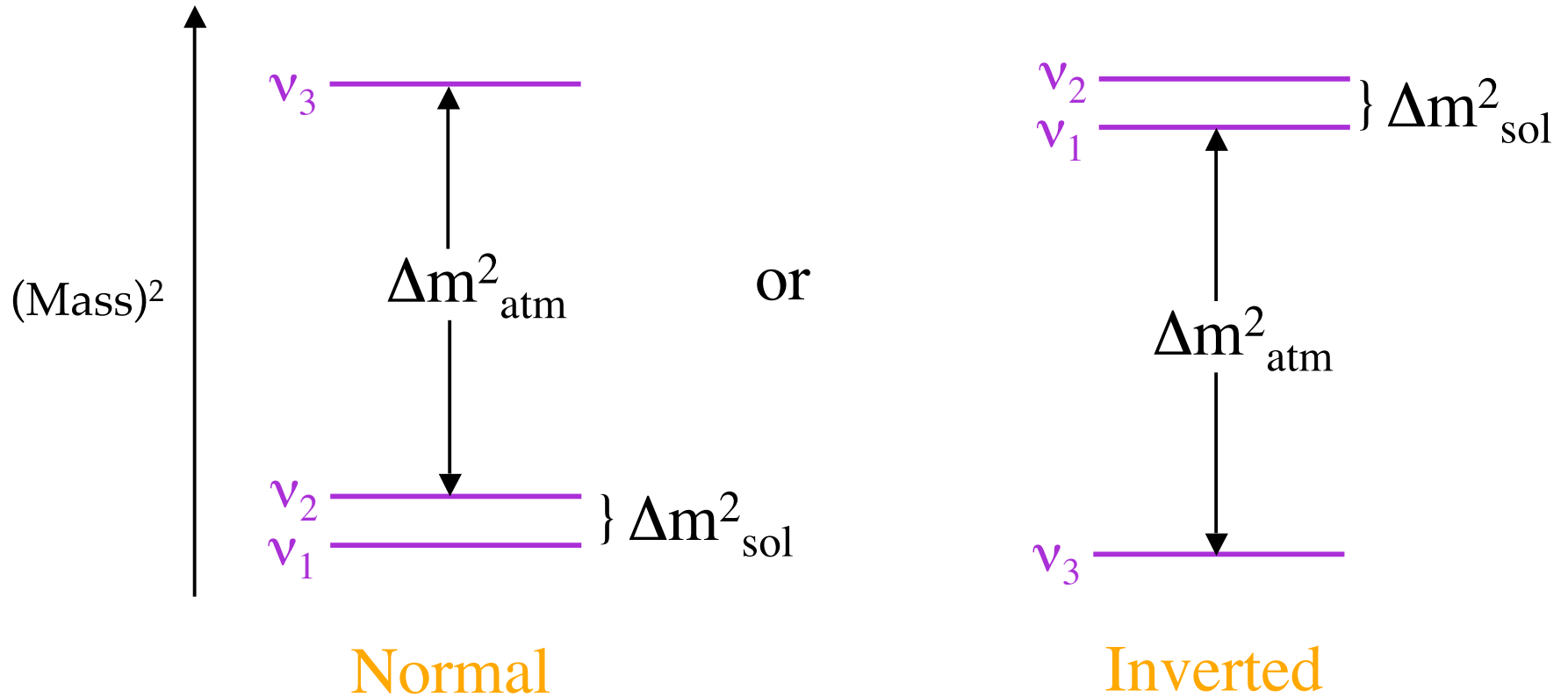


KamLAND  $\bar{\nu}_e$  event rate vs.  $L/E$ , assuming each  $\bar{\nu}_e$  traveled  $L = L_0 = 180$  km.

The image shows a large, circular, green-painted structure with a grid-like pattern, likely a particle detector or accelerator component. The structure is composed of many small, square cells. In the foreground, there is a complex metal framework and some equipment. A person is visible on the right side, working on the structure. The overall scene is industrial and technical.

# What We Have Learned

# The (Mass)<sup>2</sup> Spectrum



$$\Delta m^2_{\text{sol}} \cong 8 \times 10^{-5} \text{ eV}^2, \quad \Delta m^2_{\text{atm}} \cong 2.5 \times 10^{-3} \text{ eV}^2$$

LSND suggests there is (at least) one more  $\Delta m^2$ ,  
hence one more mass eigenstate.

Recall that each mass eigenstate is a superposition of flavors:

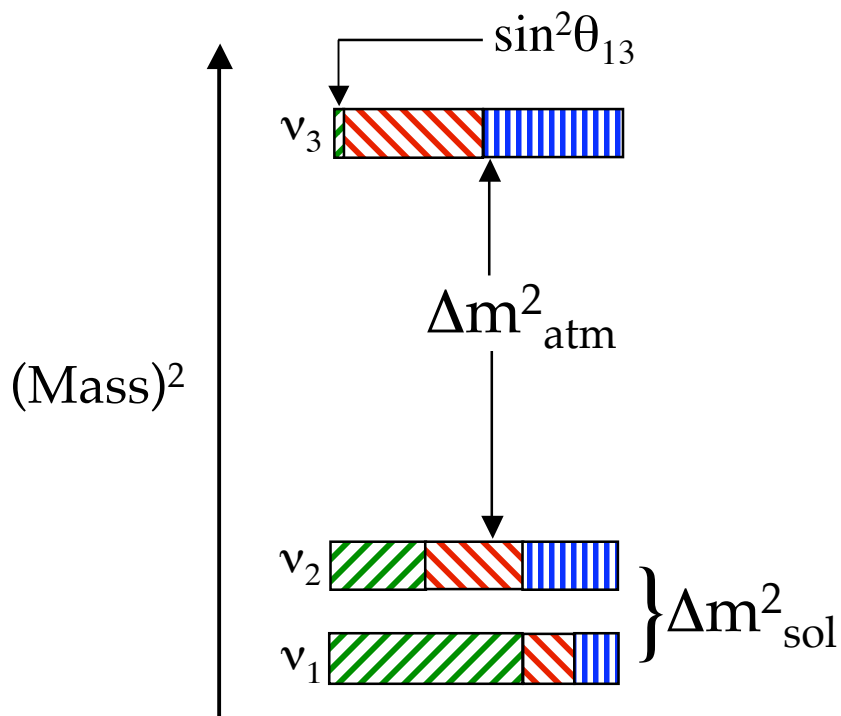
$$|\nu_i\rangle = \sum_{\alpha} U_{\alpha i} |\nu_{\alpha}\rangle .$$

The flavor- $\alpha$  fraction of  $\nu_i$  is —

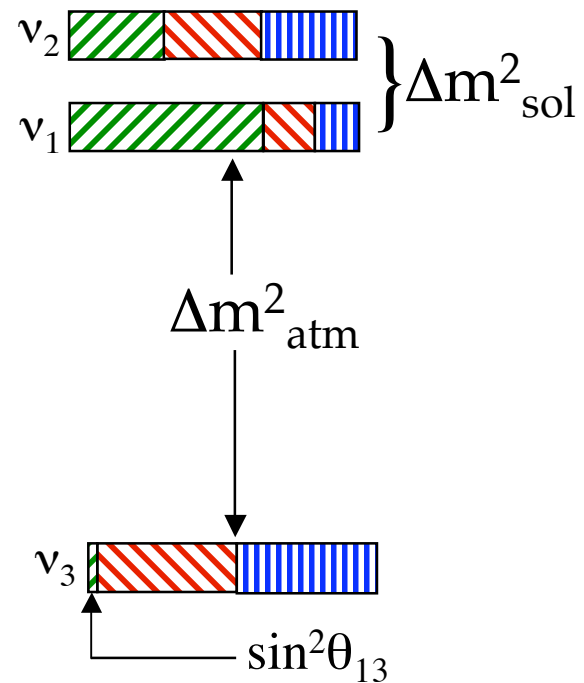
$$|\langle \nu_{\alpha} | \nu_i \rangle|^2 = |U_{\alpha i}|^2 .$$

Assuming that there are only 3 mass eigenstates, the spectrum, showing its approximate flavor content, is —





or




Normal

Inverted

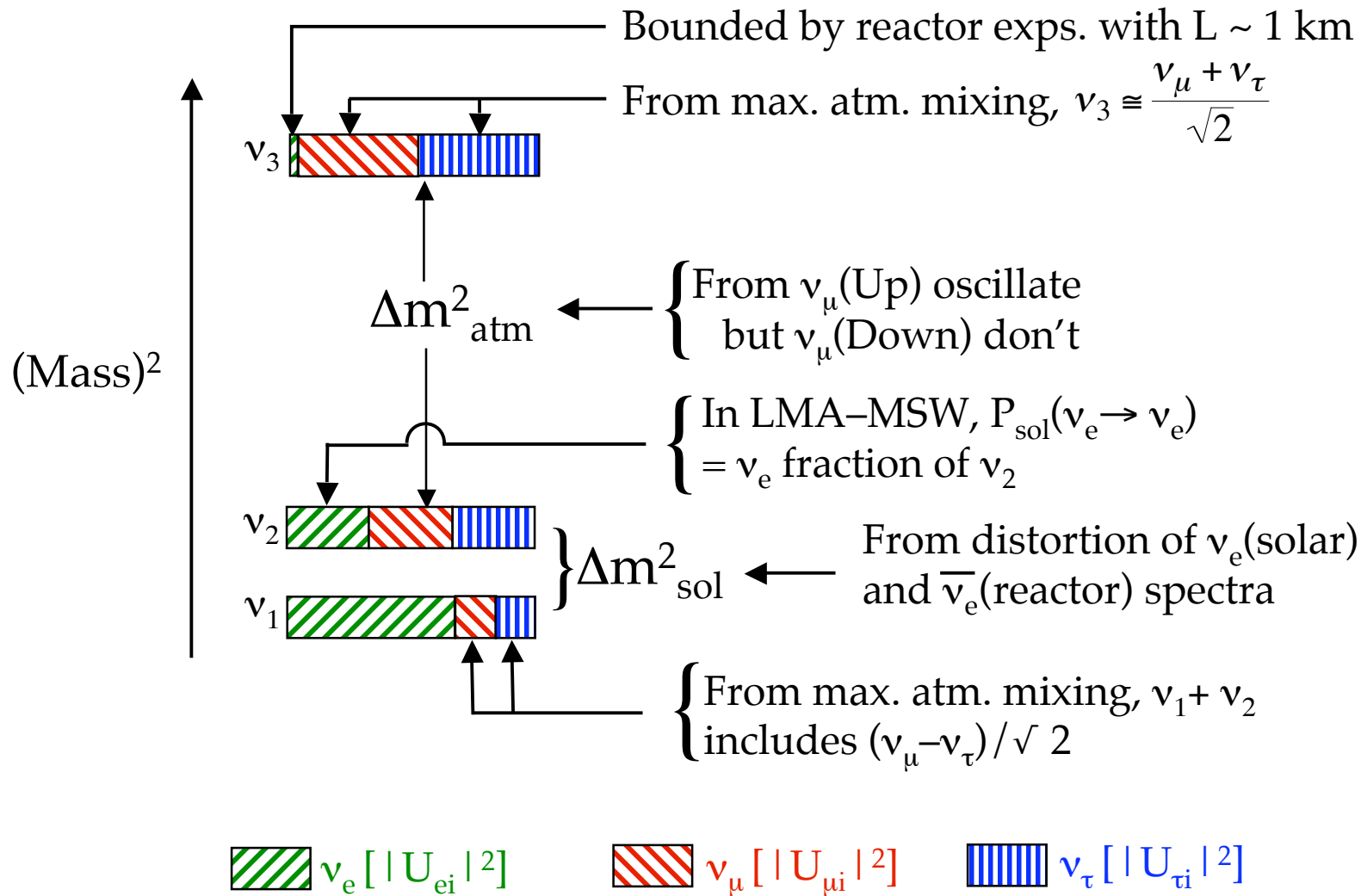
$\nu_e [ |U_{ei}|^2 ]$

$\nu_\mu [ |U_{\mu i}|^2 ]$

$\nu_\tau [ |U_{\tau i}|^2 ]$



**How Did We Learn  
This?**



# The Mixing Matrix

$$U = \begin{matrix} \text{Atmospheric} \\ \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \end{matrix} \times \begin{matrix} \text{Cross-Mixing} \\ \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{bmatrix} \end{matrix} \times \begin{matrix} \text{Solar} \\ \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix} \end{matrix} \\
 \\
 \begin{matrix} c_{ij} \equiv \cos \theta_{ij} \\ s_{ij} \equiv \sin \theta_{ij} \end{matrix} \times \begin{bmatrix} e^{i\alpha_1/2} & 0 & 0 \\ 0 & e^{i\alpha_2/2} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$\theta_{12} \approx \theta_{\text{sol}} \approx 34^\circ, \quad \theta_{23} \approx \theta_{\text{atm}} \approx 37\text{-}53^\circ, \quad \theta_{13} \lesssim 10^\circ$$

Majorana ~~CP~~  
phases

$\delta$  would lead to  $P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) \neq P(\nu_\alpha \rightarrow \nu_\beta)$ . ~~CP~~

But note the crucial role of  $s_{13} \equiv \sin \theta_{13}$ .

# The Contrast Between Quark and Lepton Mixing

$$V_{\text{quark}} = \begin{pmatrix} 1 & S & s \\ S & 1 & S \\ s & S & 1 \end{pmatrix} \quad s \equiv \text{small}$$

Why?

$$U_{\text{lepton}} = \begin{pmatrix} B & B & \theta_{13} \\ B & B & B \\ B & B & B \end{pmatrix} \quad B \equiv \text{Big}$$



**How Can the Standard  
Model be Modified to  
Include Neutrino Masses?**